

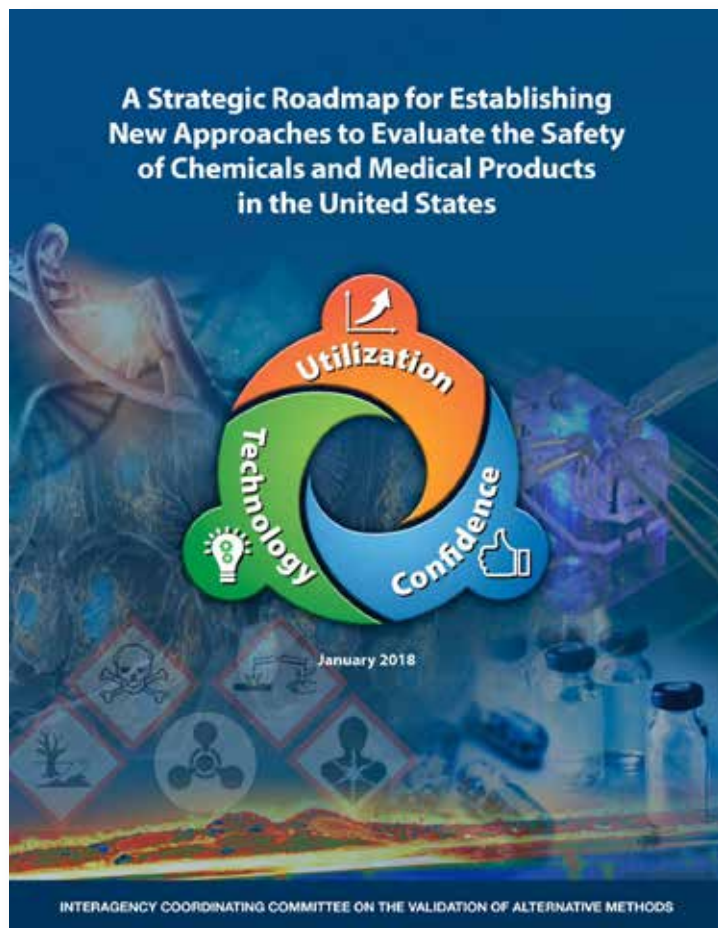
# Roadmap Implementation Plans: Update on Each of the 6-pack Endpoints, How Close Are We to Replacement?

David Allen, PhD

Inotiv, contractor supporting the NTP Interagency Center for the Evaluation of Alternative Toxicological Methods

SACATM Meeting  
September 21, 2023

# U.S. Strategy and Roadmap: January 2018



**Connect end users  
with the developers  
of alternative  
methods**



**Establish new validation  
approaches that are  
more flexible and  
efficient**

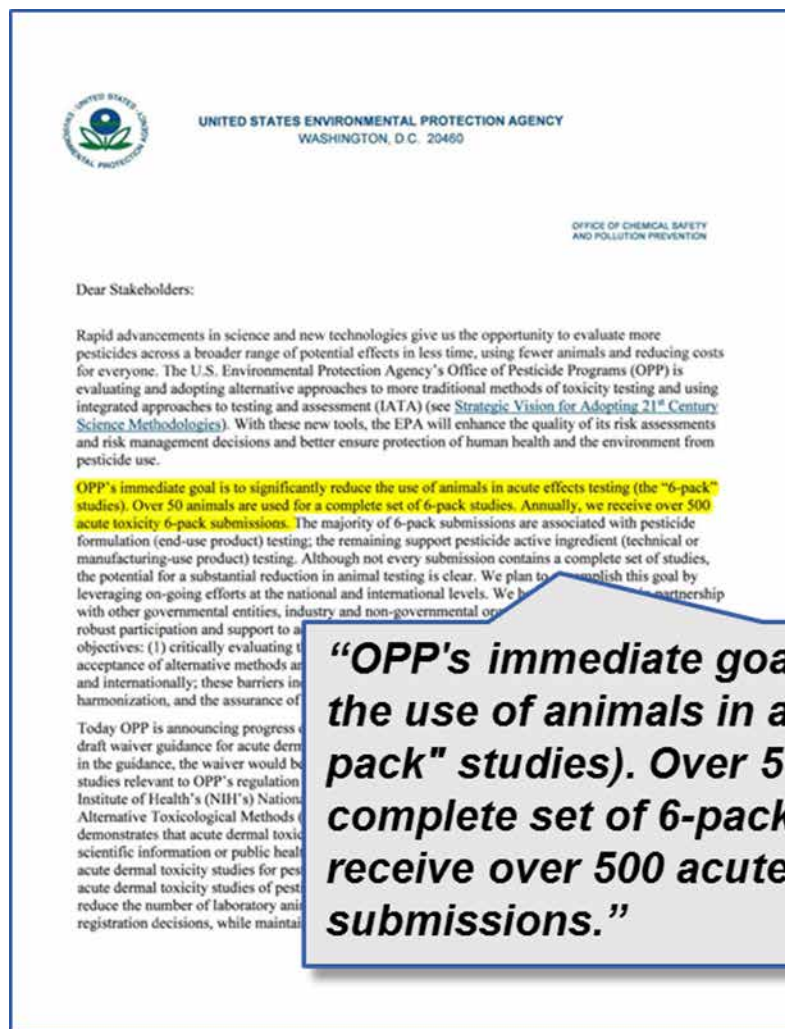


**Ensure adoption and  
use of new methods  
by both regulators  
and industry**

More information: <https://ntp.niehs.nih.gov/go/natl-strategy>

# The “Six Pack” of Acute Toxicity Studies

1. Acute dermal toxicity
2. Acute oral toxicity
3. Acute inhalation toxicity
4. Primary eye irritation
5. Primary skin irritation
6. Skin sensitization



## Label Review Manual Chapter 10: Worker Protection Label



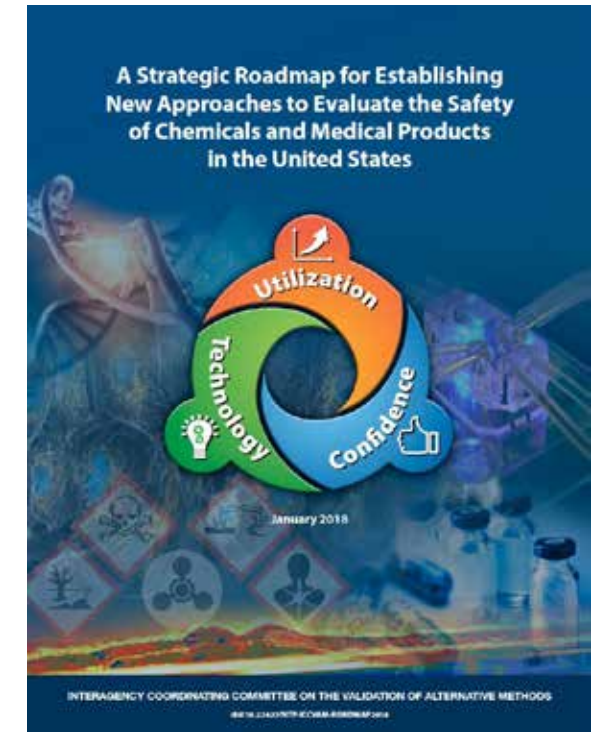
**“OPP's immediate goal is to significantly reduce the use of animals in acute effects testing (the "6-pack" studies). Over 50 animals are used for a complete set of 6-pack studies. Annually, we receive over 500 acute toxicity 6-pack submissions.”**

- Coordinate activities via ICCVAM Workgroups
- Draft a scoping document to identify U.S. agency requirements, needs, and decision contexts
- Coordinate efforts with stakeholders
- Identify, acquire, and curate high quality data from reference test methods
- Identify and evaluate new approach methodologies (NAMs)
- Gain regulatory acceptance and facilitate use of non-animal approaches

Acute systemic toxicity: <https://ntp.niehs.nih.gov/go/roadmap-acutetox>

Skin and eye irritation: <https://ntp.niehs.nih.gov/go/roadmap-irrit>

Skin sensitization: <https://ntp.niehs.nih.gov/go/roadmap-sensit>



<https://ntp.niehs.nih.gov/go/natl-strategy>

Regulatory Toxicology and Pharmacology 94 (2018) 183–206

Contents lists available at ScienceDirect

**Regulatory Toxicology and Pharmacology**

journal homepage: [www.elsevier.com/locate/yrtph](http://www.elsevier.com/locate/yrtph)

Status of acute systemic toxicity testing requirements and data uses by U.S. regulatory agencies

Judy Strickland<sup>a,\*</sup>, Amy J. Clippinger<sup>b</sup>, Jeffrey Brown<sup>b</sup>, David Allen<sup>a</sup>, Abigail Jacobs<sup>c,d</sup>, Joanna Matheson<sup>e</sup>, Anna Lowit<sup>f</sup>, Emily N. Reinke<sup>g</sup>, Mark S. Johnson<sup>h</sup>, Michael J. Quinn<sup>i</sup>, David Mattie<sup>j</sup>, Suzanne C. Fitzpatrick<sup>k</sup>, Surender Ahir<sup>l</sup>, Nicole Kleinstreuer<sup>l</sup>, Warren Casey<sup>l</sup> and David Allen<sup>l</sup>

<sup>a</sup> R.A. P.O. Box 12090, Research Triangle Park, NC 27709, USA  
<sup>b</sup> PETA International Science Consortium Ltd, Society Building, 8 All Saints Street, London, UK  
<sup>c</sup> Center for Drug Evaluation and Research, U.S. Food and Drug Administration (FDA), White Oak Office Building 23, 20003 New Hampshire Ave., Silver Spring, MD 20903, USA  
<sup>d</sup> U.S. Consumer Product Safety Commission, 5 Research Place, Rockville, MD 20850, USA  
<sup>e</sup> Office of Pesticide Programs, U.S. Environmental Protection Agency, 1200 Pennsylvania Ave., NW, Washington, DC 20460, USA  
<sup>f</sup> U.S. Army Public Health Center, 525B Blackhawk Rd., Aberdeen Proving Ground, MD 21010, USA  
<sup>g</sup> U.S. Air Force, Air Force Research Laboratory, AFRL/711 SPW 8903, 711 Human Performance Wing, Wright-Patterson Air Force Base, OH 45433, USA  
<sup>h</sup> Center for Food Safety and Applied Nutrition, FDA, Harvey W. Wiley Building, 5100 Paine Branch Parkway, College Park, MD 20790, USA  
<sup>i</sup> U.S. Occupational Safety and Health Administration, 200 Constitution Ave. NW, Washington, DC 20210, USA  
<sup>j</sup> National Toxicology Program Interagency Center for the Evaluation of Alternative Toxicological Methods, National Institute of Environmental Health Sciences, 12233, Research Triangle Park, NC 27709, USA

**ARTICLE INFO**

**Keywords:** Acute systemic toxicity; Alternative approaches; Non-animal methods; Regulatory requirements

**ABSTRACT**

Acute systemic toxicity data are used by a number of U.S. federal agencies, for identification and labeling and/or risk assessment for acute chemical exposures. Implementation of non-animal approaches to produce these data, the regulatory toxicology information must first be clarified. Thus, we reviewed acute system toxicity information for use by six U.S. agencies (Consumer Product Safety Commission, Department of Transportation, Environmental Protection Agency, Food and Drug Administration) and noted whether there is flexibility in satisfying data or reduce animal use. Understanding the current regulatory use and acceptance starting point for future method development, optimization, and validation inform the development of a national strategy and roadmap for implementing potential hazards associated with acute exposures to industrial chemicals. Toxicity Workgroup of the Interagency Coordinating Committee on the Validation of Alternative Methods (ICCVAM), U.S. agencies, non-governmental organizations, and other stakeholders.

Archives of Toxicology (2019) 93:273–291  
<https://doi.org/10.1007/s00204-018-2341-6>

**REGULATORY TOXICOLOGY**

**Skin sensitization testing needs and data uses by US regulatory and research agencies**

Judy Strickland<sup>1</sup> · Amber B. Daniel<sup>1</sup> · David Allen<sup>1</sup> · Cecilia Aguilera<sup>2</sup> · Surender Ahir<sup>3</sup> · Simona Bancos<sup>4</sup> · Isabel Craig<sup>5</sup> · Dori Germolec<sup>6</sup> · Chandramallika Ghosh<sup>4</sup> · Naomi L. Hudson<sup>7</sup> · Abigail Jacobs<sup>8</sup> · David M. Lehmann<sup>9</sup> · Joanna Matheson<sup>10</sup> · Emily N. Reinke<sup>11</sup> · Nakissa Sadrieh<sup>12</sup> · Stanislav Vukmanovic<sup>12</sup> · Nicole Kleinstreuer<sup>13</sup>

Received: 1 August 2018 / Accepted: 23 October 2018 / Published online: 30 October 2018  
© Springer-Verlag GmbH Germany, part of Springer Nature 2018

**Abstract**

United States regulatory and research agencies may rely upon skin sensitization test data to assess the sensitization hazards associated with dermal exposure to chemicals and products. These data are evaluated to ensure that such substances will not cause unreasonable adverse effects to human health when used appropriately. The US Consumer Product Safety Commission, the US Environmental Protection Agency, the US Food and Drug Administration, the Occupational Safety and Health Administration, the National Institute for Occupational Safety and Health, and the US Department of Defense are member agencies of the Interagency Coordinating Committee on the Validation of Alternative Methods (ICCVAM). ICCVAM seeks to identify opportunities for the use of non-animal replacements to satisfy these testing needs and requirements. This review identifies the standards, test guidelines, or guidance documents that are applicable to satisfy each of these agency's needs; the current use of animal testing and flexibility for using alternative methodologies; information needed from alternative tests to fulfill the needs for skin sensitization data; and whether data from non-animal alternative approaches are accepted by these US federal agencies.

**Keywords** Skin sensitization testing · Alternative approaches · Non-animal methods · Regulatory requirements

CUTANEOUS AND OCULAR TOXICOLOGY  
2019, VOL. 38, NO. 2, 141–155  
<https://doi.org/10.1080/15569527.2018.1540494>

Taylor & Francis  
Taylor & Francis Group

REVIEW ARTICLE

**United States regulatory requirements for skin and eye irritation testing**

Neepa Y. Choksi<sup>a</sup>, James Truax<sup>b</sup>, Adrienne Layton<sup>b</sup>, Joanna Matheson<sup>c</sup>, David Mattie<sup>d</sup>, Timothy Varney<sup>e</sup>, Jenny Tao<sup>f</sup>, Krystle Yozzo<sup>f</sup>, Andrew J. McDougal<sup>g</sup>, Jill Merrill<sup>h</sup>, Donnie Lowther<sup>i</sup>, Joao Barroso<sup>j</sup>, Brenda Linke<sup>k</sup>, Warren Casey<sup>l</sup> and David Allen<sup>l</sup>

<sup>a</sup>Integrated Laboratory Systems, Inc. Morrisville, NC, USA; <sup>b</sup>Division of Pharmacology and Physiology Assessment, U.S. Consumer Product Safety Commission, Rockville, MD, USA; <sup>c</sup>U.S. Consumer Product Safety Commission, Rockville, MD, USA; <sup>d</sup>Bioeffects Division, Human Effectiveness Directorate, Air Force Research Laboratory, Wright-Patterson AFB, OH, USA; <sup>e</sup>Research Institute of Chemical Defense, U.S. Army, Aberdeen Proving Ground, MD, USA; <sup>f</sup>Office of Pesticide Programs, U.S. Environmental Protection Agency, Washington, DC, USA; <sup>g</sup>Center for Food Safety and Applied Nutrition, U.S. Food and Drug Administration, Silver Spring, MD, USA; <sup>h</sup>Dermatologic and Dental Drug Products, U.S. Environmental Protection Agency, Silver Spring, MD, USA; <sup>i</sup>Office of Cosmetics and Colors, U.S. Food and Drug Administration, University of Maryland System, Baltimore, MD, USA; <sup>j</sup>EU Reference Laboratory for Alternatives to Animal Testing, Institute for Health and Consumer Protection, Ispra, Italy; <sup>k</sup>Health Evaluation Directorate, Health Canada's Pest Management Regulatory Agency, Ottawa, Canada; <sup>l</sup>National Institutes of Environmental Health Sciences, Morrisville, NC, USA

and skin irritation test data are required or considered by chemical regulation authorities in the United States to develop product hazard labelling and/or to assess risks for exposure to skin-irritating chemicals. The combination of animal welfare concerns and interest in implementing greater human relevance has led to the development of non-animal skin- and eye-irritation tests. To identify opportunities for regulatory uses of non-animal replacements for irritation tests, the needs and uses for these types of test data at U.S. regulatory and research agencies must first be clarified. This review surveyed regulatory and non-regulatory testing needs of U.S. Interagency Coordinating Committee on the Validation of Alternative Methods (ICCVAM) agencies for skin and eye irritation testing. Information reviewed includes the type of skin and eye irritation data required by each agency, the associated decision context: hazard classification, potency classification, or risk assessment; and whether alternative or non-animal tests are acceptable. Information on the current use of animal testing and flexibility for using alternative methodologies; information needed from alternative tests to fulfill the needs for skin and eye irritation data; and whether data from non-animal alternative approaches are accepted by these US federal agencies are also collected. This review identifies the standards, test guidelines, or guidance documents that are applicable to satisfy each of these agency's needs; the current use of animal testing and flexibility for using alternative methodologies; information needed from alternative tests to fulfill the needs for skin and eye irritation data; and whether data from non-animal alternative approaches are accepted by these US federal agencies.

**ARTICLE HISTORY**  
Received 23 August 2018  
Revised 16 October 2018  
Accepted 18 October 2018

**KEYWORDS**  
Eye irritation testing; skin irritation testing; alternative approaches; non-animal methods; regulatory requirements; corneal

To advance the implementation of alternative testing methods, a dialog on the confidence in these methods to protect public health and the environment must be undertaken at

- Identifies US Agency requirements, needs, and decision contexts for each endpoint

# Acute Dermal Pesticide Toxicity Testing

- Collaboration between EPA & NICEATM
- Analyzed the relative contribution of data from acute oral and dermal toxicity tests to pesticide hazard classification and labelling
- Collected acute lethality dermal and oral toxicity data from rat studies with
  - pesticide formulations
  - technical ingredients

<https://www.epa.gov/pesticide-registration/bridging-or-waiving-data-requirements>

Unique ID: EPA 705-G-2020-3722 (Docket ID: EPA-HQ-OPP-2016-0093)

## Guidance for Waiving Acute Dermal Toxicity Tests for Pesticide Technical Chemicals & Supporting Retrospective Analysis

Issued By: Office of Pesticide Programs  
Office of Chemical Safety and Pollution Prevention  
United States Environmental Protection Agency

Date of Issuance: December 31, 2020

Unique ID: EPA 705-G-2020-3722

Docket ID: EPA-HQ-OPP-2016-0093


Related Authority: 7 U.S.C. 136 *et seq.* The overall purpose of this analysis is to address the utility of the acute dermal toxicity study for single technical chemicals in pesticide labelling, such as the signal word and precautionary statements as described in 40 CFR 156.64 and 40 CFR 156.70.

Non-Binding Disclaimer: The contents of this guidance document do not have the force and effect of law and that the Agency does not intend to bind the public in any way and intends only to provide clarity to the public regarding existing requirements under the law or Agency policies. If the guidance document is binding because it is authorized by law or because the guidance is incorporated into a contract, the EPA will make that clear in the document.

# Acute Oral Toxicity: Global Crowdsourcing Predictive Models



(Q)SAR  
= (Quantitative) Structure-Activity Relationship



IN SILICO



- 35 Groups: academia, industry, govt
- Curate reference data to train & test models: >10k chemicals
- Use molecular structure and chemical properties to predict toxicity (e.g. endocrine disruption, acute systemic effects)
- Combine best models together into “ensemble” approaches
- Create open access AI/ML modeling suite

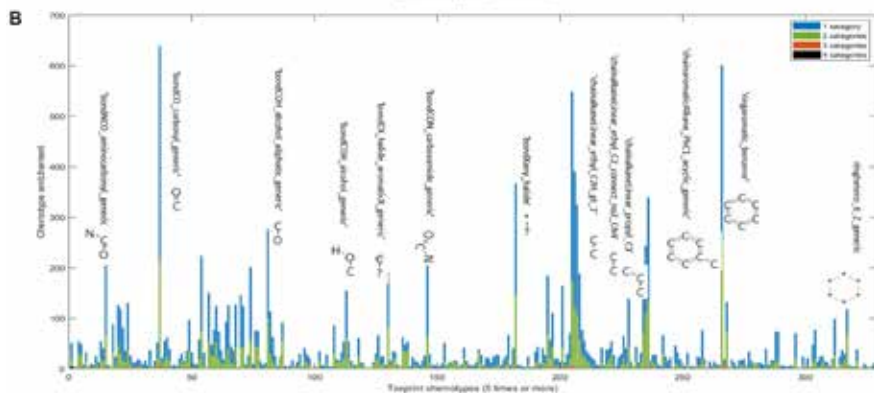
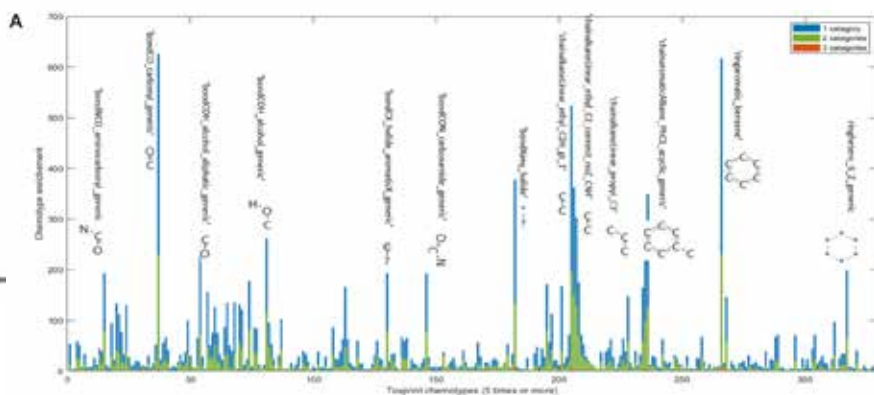
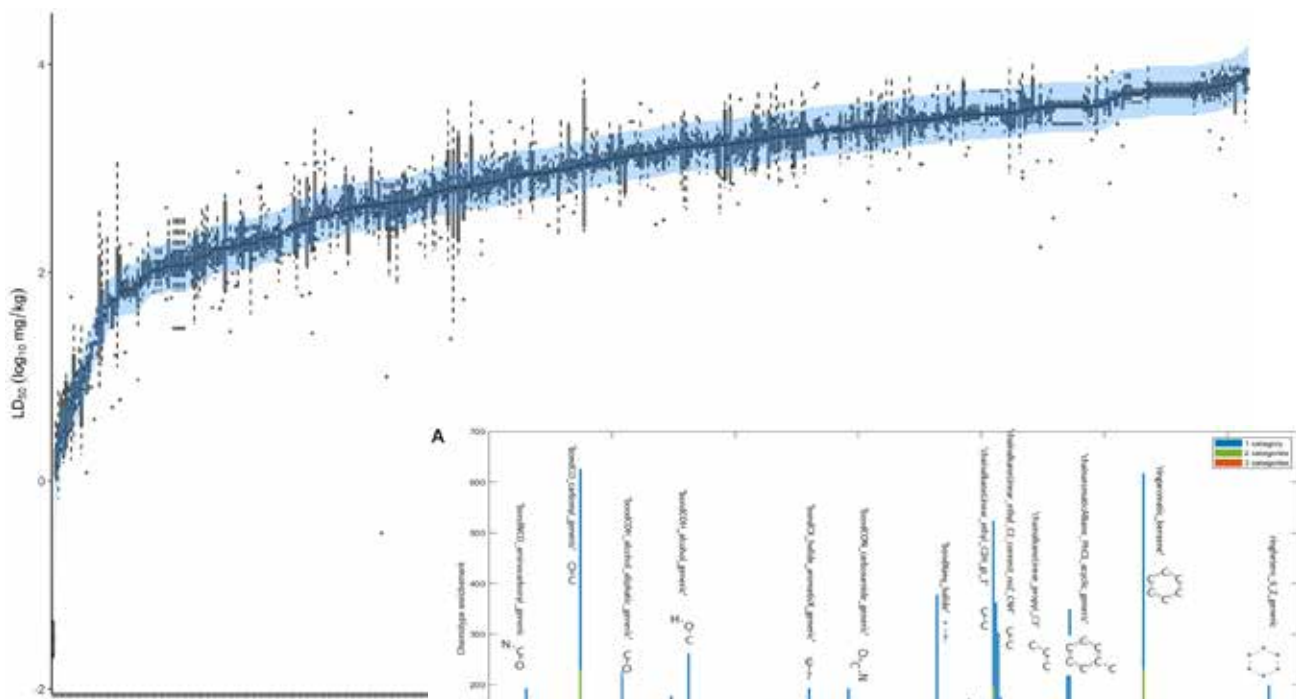


[https://github.com/  
NIEHS/OPERA](https://github.com/NIEHS/OPERA)



<https://ice.ntp.niehs.nih.gov/>

# Characterizing Variability and Applying to Model Evaluation



Analyzing sources of variability in acute oral toxicity data & quantifying 95% confidence interval

## Collaborative Acute Toxicity Modeling Suite (CATMoS) Performance

	Very Toxic		Non-Toxic		EPA		GHS	
	Train	Eval	Train	Eval	Train	Eval	Train	Eval
Sensitivity	0.87	0.70	0.88	0.67	0.81	0.62	0.80	0.58
Specificity	0.99	0.97	0.97	0.90	0.92	0.86	0.95	0.90
Balanced Accuracy	0.93	0.84	0.92	0.78	0.87	0.74	0.88	0.74
<b>In vivo Balanced Accuracy</b>	0.81		0.89		0.82		0.79	

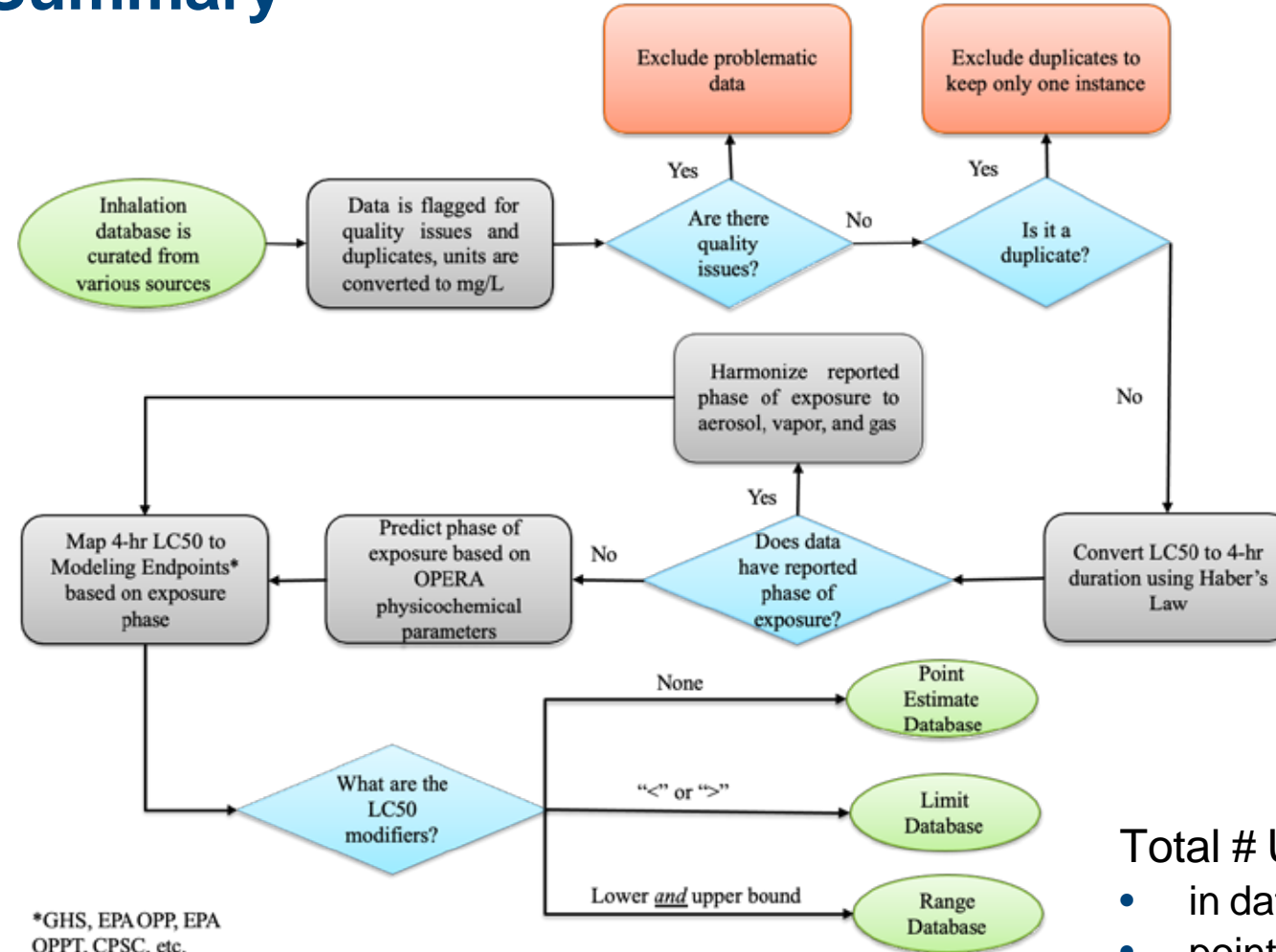
	LD50 values		LD50 values
	Train	Eval	<i>In Vivo</i>
R2	0.85	0.65	0.80
RMSE	0.30	0.49	0.42

CATMoS QSAR predictions perform just as well as replicate *in vivo* data at predicting oral acute toxicity outcome



## Inventory Sources and Summary

- **ECHA REACH Database**
  - Data Rows: 3016
  - Unique Substances: 611
- **ChemIDplus**
  - Data Rows: 2036
  - Unique Substances: 1249
- **Department of Defense**
  - Reports: 22
  - Unique Substances: 13
- **EPA AEGL**
  - Data Rows: 1682
  - Unique Substances: 271
- **NIOSH Pocket Guide**
  - Data Rows: 136
  - Unique Substances: 649



\*GHS, EPA OPP, EPA OPPT, CPSC, etc.

### Total # Unique Chemicals

- in database: **1025**
- point estimate data: **780**
- limit data: **312**
- range data: **45**

Prior GHS category	1	2A	2B	NC
1 (serious eye damage)	73%	16%	0%	10%
2A (irritant)	4%	33%	4%	59%
2B (mild irritant)	0%	4%	16%	80%
NC (non-irritant)	1%	4%	2%	94%

Adapted from Luechtefeld et al., ALTEX 33(2), 2016.

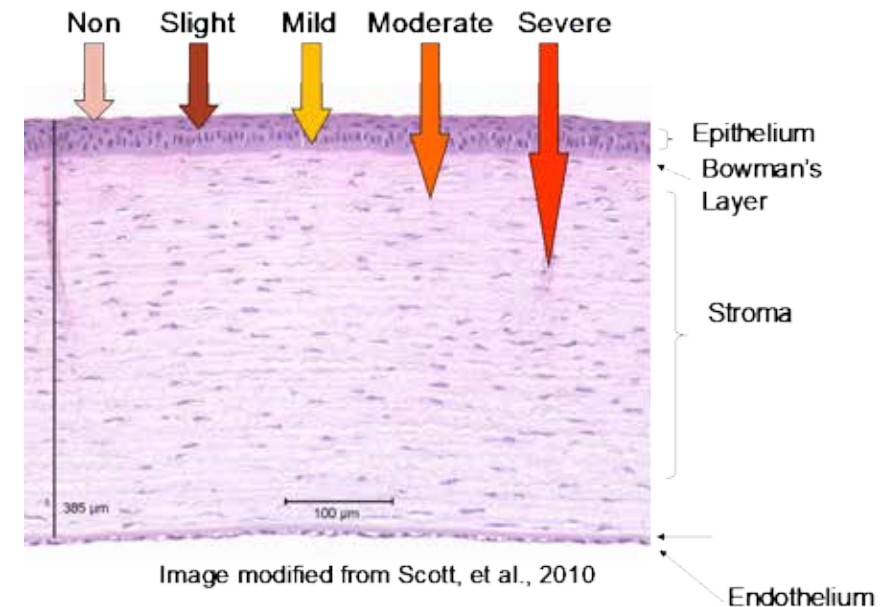
Consider strengths and limitations of all available methods with respect to:

- their relevance to human ocular anatomy
- the mechanisms of eye irritation/corrosion in humans

Clippinger et al. 2021 Cut Ocu Tox

## Assessing approaches for eye corrosion/irritation potential

- The rabbit test should not be used as a reference method to demonstrate the validity of *in vitro/ex vivo* assays
- *In vitro/ex vivo* methods are as or more reliable and relevant than the rabbit test



## Study Design: Test Phases/Test Methods

### Phase 1

Assess validity of test methods

Six formulations were tested in eight methods/protocols

Cat. 1, n=3 | NC, n=3

- **BCOP**
  - Standard (IVIS w/histo)
  - Extended incubation (IVIS w/histo)
- **EpiOcular**
  - Standard
  - Time-to-toxicity neat
  - Time-to-toxicity diluted
- **Neutral red release**
- **Isolated chicken eye**
- **Porcine cornea reversibility assay**

### Phase 2

Refine test methods for potential use in defined approaches

10 additional formulations were tested in eight methods/protocols

Cat. 1, n=4 | Cat. 2A, n=1 | NC, n=5

- **BCOP**
  - Standard (IVIS w/histo)
  - Extended incubation (IVIS w/histo)
- **EpiOcular**
  - Standard
  - Time-to-toxicity neat
  - Time-to-toxicity diluted
- **Neutral red release**
- **Isolated chicken eye**
- **Porcine cornea reversibility assay**

### Phase 3

Expand the number of formulations classified as mild or moderate irritants based on the in vivo test

Two methods moved forward; 13 additional formulations were tested

Cat. 2A, n=5 | Cat. 2B, n=3 | NC, n=5

- **BCOP**
  - Standard (IVIS w/histo)
  - Extended incubation (IVIS w/histo)
- **EpiOcular**
  - Standard



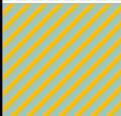


All\* formulations were tested in four additional methods/protocols

- **SkinEthic time-to-toxicity for liquids**
- **EyeIRR-IS**
- **In vitro depth of injury**
  - Neat
  - Diluted

# Over-/underprediction relative to consensus and PPE labeling

Formulation Information		GHS Predictions					Consensus
Code	Type	DA: BCOP/histo	DA: EO + BCOP/histo	DA: TTL + BCOP/histo	DA: EyeIrr-IS + BCOP/histo	Historical In Vivo	
A	EC/ME	NC	NC	NC	NC	NC	NC
B	SC	NC	NC	NC	NC	NC	NC
C	SC	NC	NC	NC	NC	NC	NC
D	EC	1	1	1	1	1	1
E	EC	2B	2B	2B	1	1	1
F	SL	1	1	1	1	1	1
G	EC	1	1	1	1	1	1
H	SL	1	1	1	1	1	1
I	SL	1	1	1	1	1	1
J	EC	1	1	1	1	1	1
K	SL	NC	2B	2B	2B	2A	2A
L	EC	NC	2B	2B	NC	NC	NC
M	SL	NC	NC	NC	NC	NC	NC
N	SC	NC	NC	NC	NC	NC	NC
O	SL	NC	2B	2B	NC	NC	NC
P	SC	NC	NC	NC	NC	NC	NC
Q	SL	2A*	2A	2A	2A	NC	2A
R	SL	2A	2A	1	1	2A	1
S	SL	2B*	2B	2B	2B	2B	2B
T	SC	2B*	NC	2B	NC	NC	NC
U	EC	2A	2A	2A	1	2A	2A
V	SL	1 <sup>†</sup>	1 <sup>†</sup>	1 <sup>†</sup>	1 <sup>†</sup>	2B	1
W	SL	2B	2B	2B	2B	NC	2B
X	EC	2A	2A	2A	1	2A	2A
Y	EC	2B*	2B	2B	2B	2A	2B
Z	EC	2B	NC	NC	NC	NC	NC
AA	EC	NC	2B	2B	2B	2A	2A
AB	EC	2A	2A	-	-	2B	Inconclusive
AC	EC	2B	2B	2B	NC	NC	Inconclusive

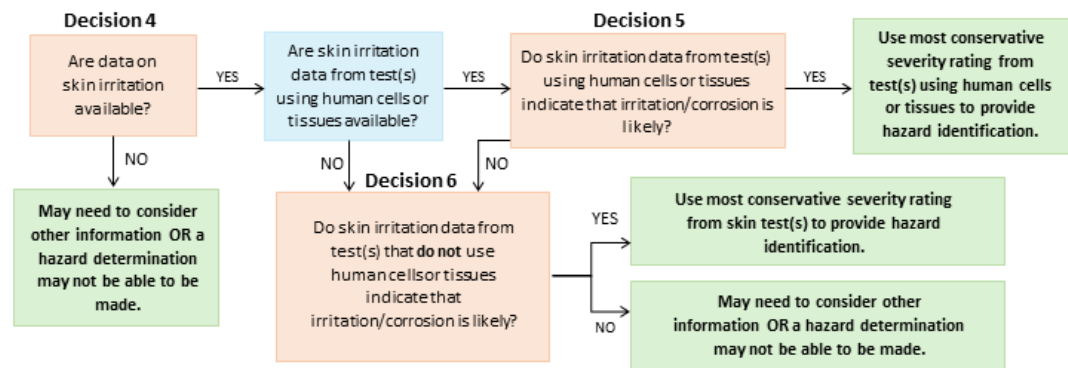
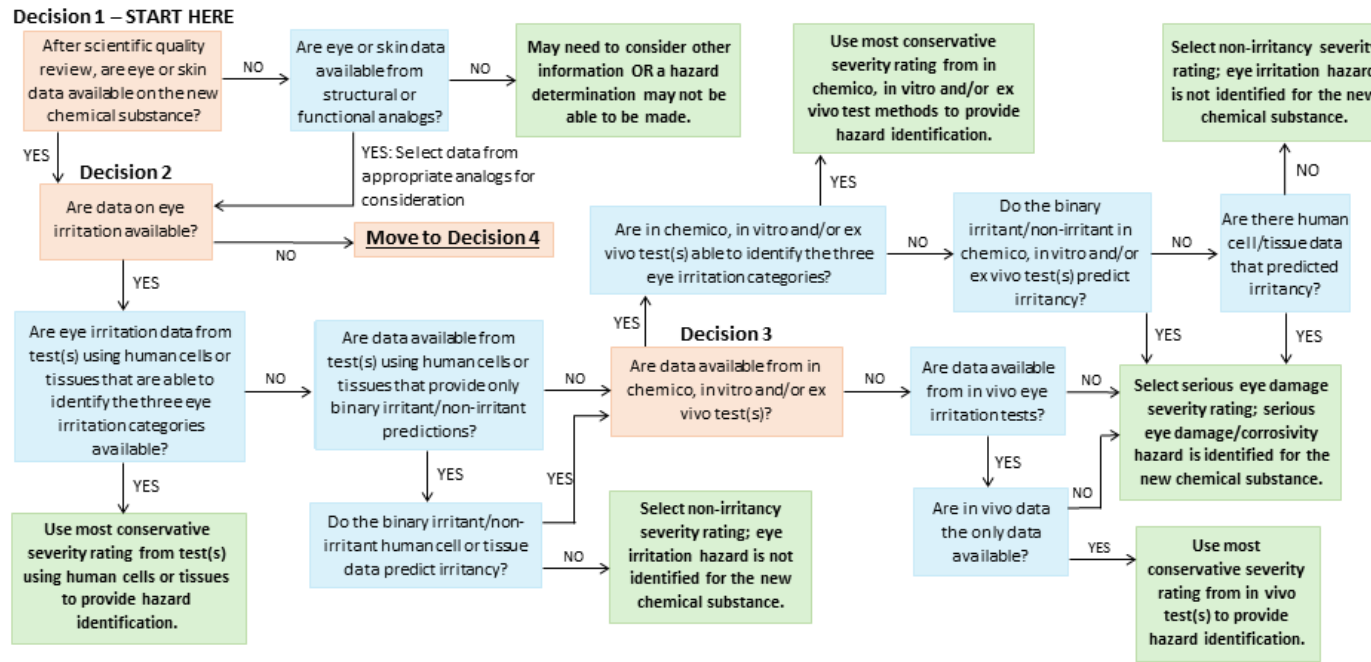
Effects	GHS Classification	PPE
Corrosive	Category 1	Eye protection
Moderate irritant	Category 2A	Eye protection
Mild irritant	Category 2B	Eye protection
Non-corrosive/ minimal irritant	Not Classified	None noted

	Concordant with consensus
	Underpredicted relative to consensus, but same PPE labeling
	Overpredicted relative to consensus, but same PPE labeling
	Overpredicted relative to consensus; PPE (overprotective)
	Underpredicted relative to consensus; no PPE (underprotective)

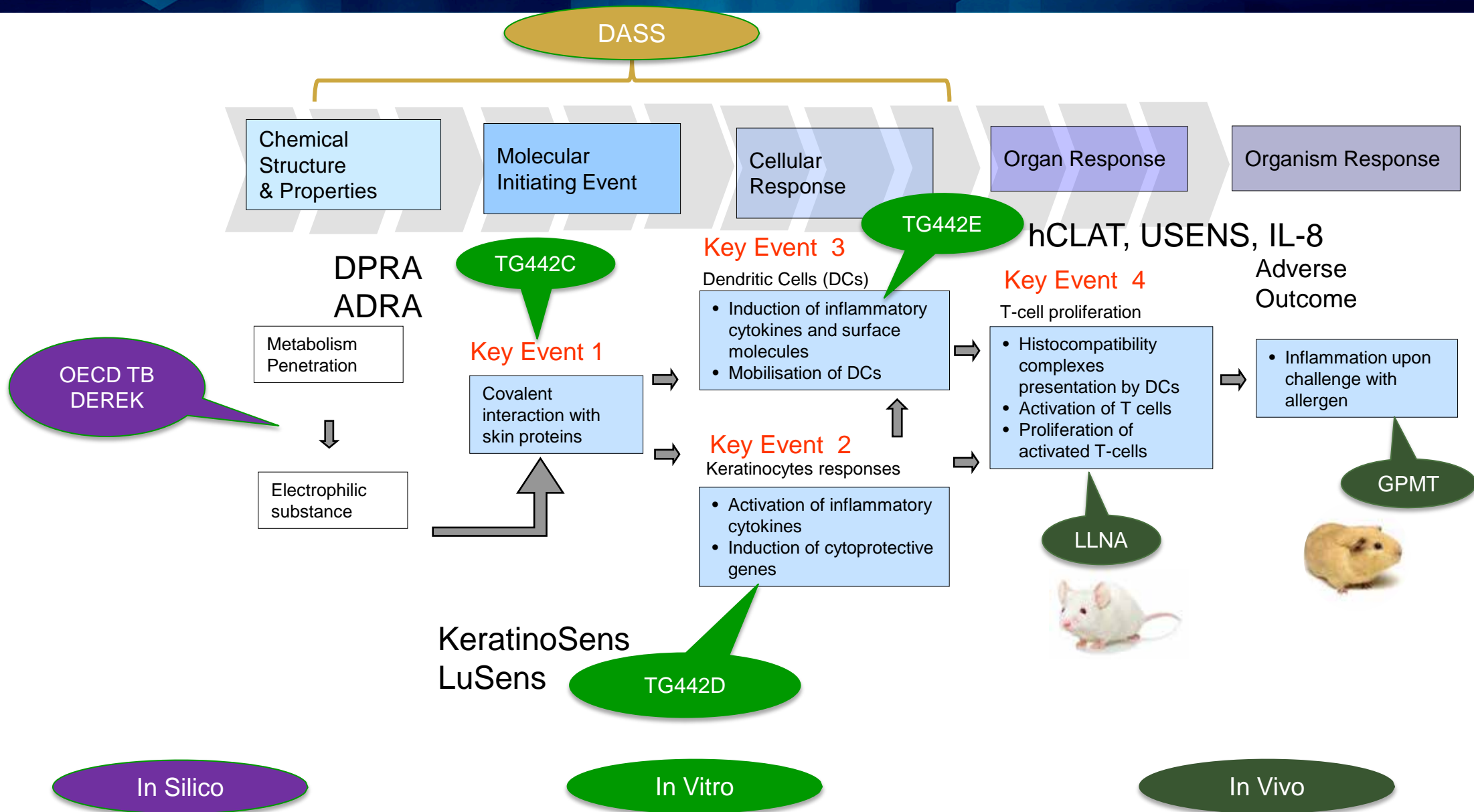
\*IVIS < 3 but histopathology analysis led to a more severe classification

†Optional histopathology analysis would lead to a less severe classification (i.e., GHS Cat. 2A)

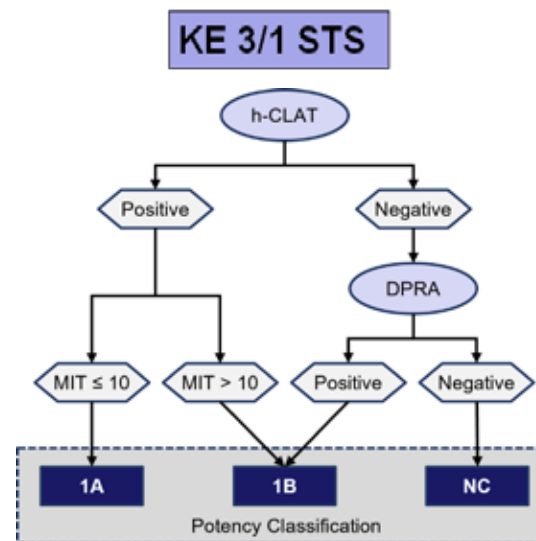
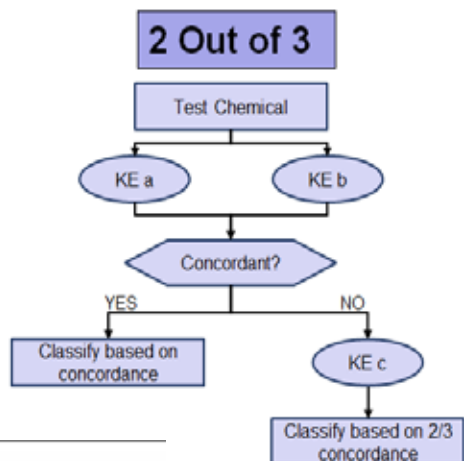
## Decision Tree



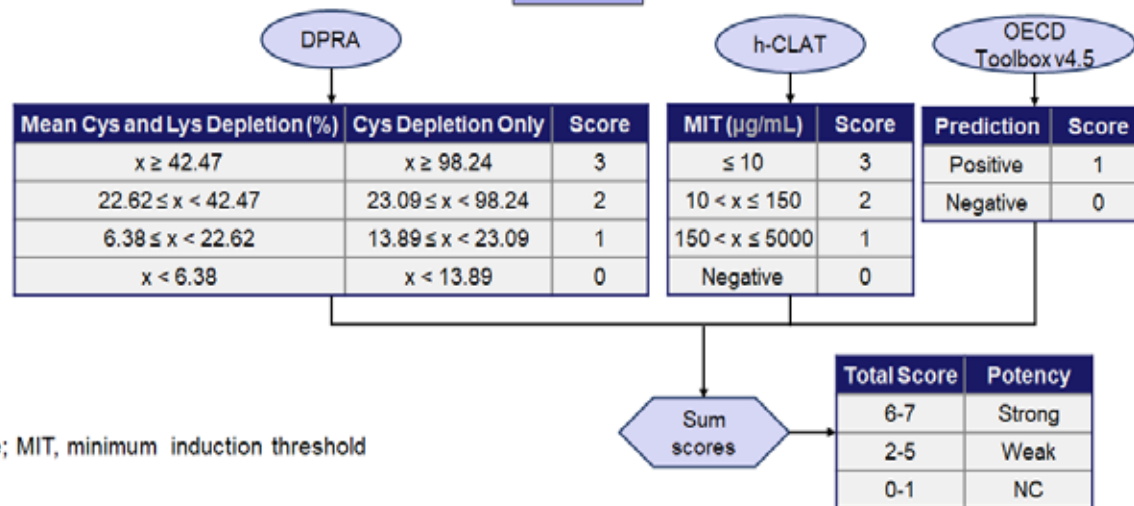
# Test Methods Mapped to AOP



# Defined Approaches for Skin Sensitization (DASS)

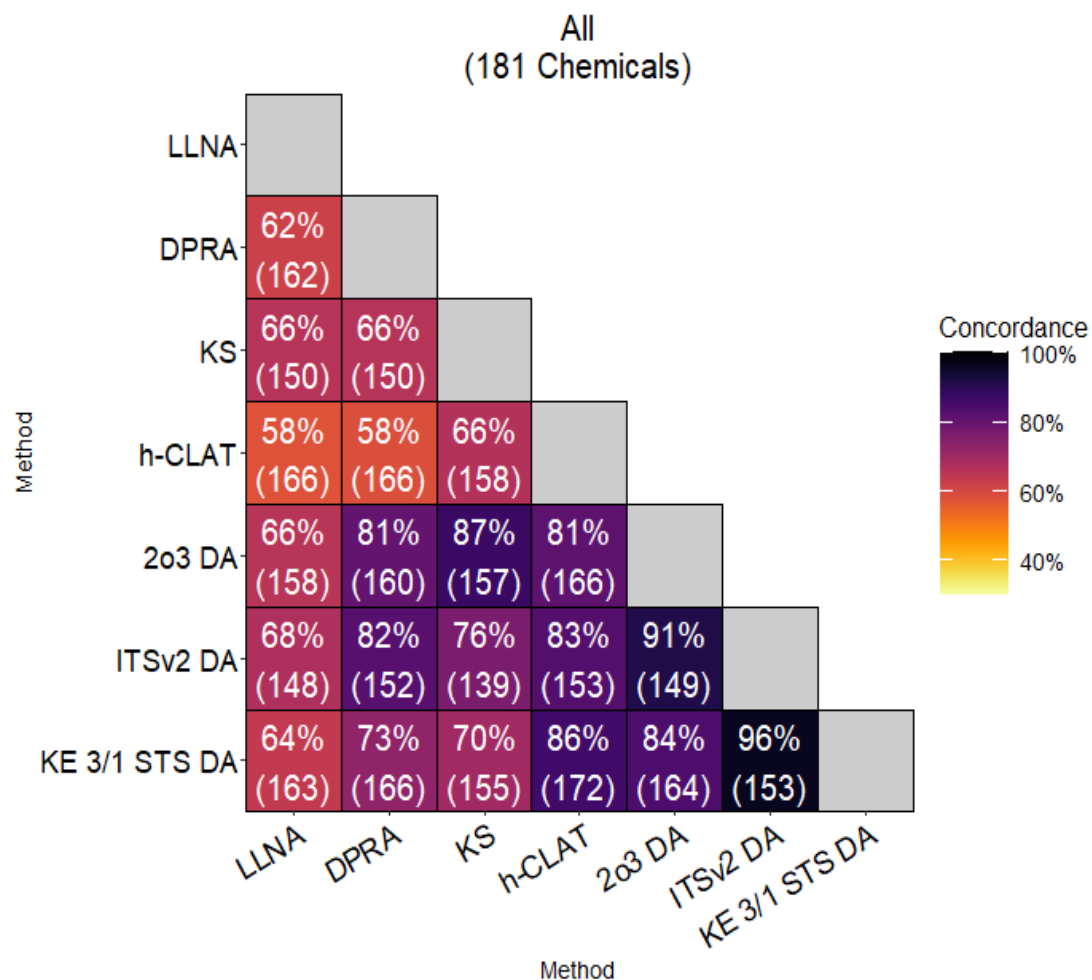


**ITSv2**



Cys, cysteine; Lys, lysine; MIT, minimum induction threshold

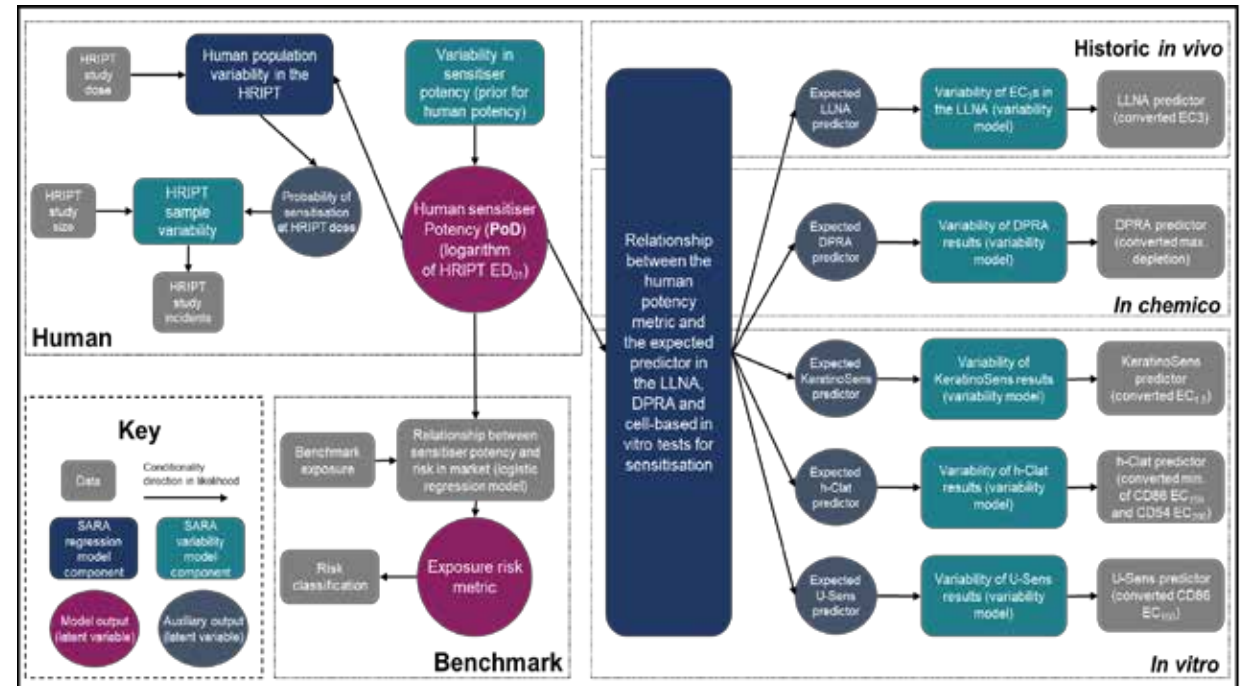
- Project evaluated three different DAs for skin sensitization (DASS):
  - 2 out of 3 (2o3) (OECD 2021a)
  - Integrated Testing Strategy (ITSv2) (OECD 2021a)
  - Key Event 3/1 Sequential Testing Strategy (KE 3/1 STS) (EPA 2018)
- 181 substances relevant to programs within several US federal agencies were tested in NAMs that are information sources for the DASS
  - Nominating agencies: NIEHS, EPA, FDA, CPSC
  - Expands coverage of chemical space – pesticides, agrochemical formulations, dermal excipients, personal care product ingredients, “challenging chemicals”
  - NOTE: GARDskin, the first internationally harmonized test based on genomics and machine learning algorithms, was evaluated using a subset of 31 substances
    - Drop-in replacement for h-CLAT
    - Collaboration with SenzaGen and Bureson Research Technologies, Inc (BRT)





# The Skin Allergy Risk Assessment (SARA) Model

- Developed by Unilever as a defined approach for skin allergy risk assessment, expanded using data from ICE and the OECD DASS project
- A Bayesian statistical model which estimates a human-relevant metric of sensitiser potency (termed  $ED_{01}$ ), the dose with a 1% chance of human skin sensitisation
- Accounts for variability of the input data and explicitly quantifies uncertainty
- Utilises any combination of human predictive patch test (HPPT), LLNA, direct peptide reactivity assay (DPRA), KeratinoSens™, h-CLAT, U-SENS™ data
- The SARA-ICE Model was designed to be used within an NGRA Framework for decision making.
- On OECD workplan for TG497 evaluation



# Progress Towards a Six-Pack Replacement

## Dermal lethality

- US EPA Waiver guidance available; Human (or rat) in vitro data for dermal absorption

## Oral lethality

- In silico (CATMoS) for single chemicals; GHS additivity equation for formulations

## Inhalation lethality

- 3D ALI models being evaluated; LC50 database evaluation for in silico model development ongoing

## Eye irritation

- NAMs for Cat I and/or Cat IV (TG 437, 438, 460, 491, 492, 494, 496); Human-biology based DAs

## Skin irritation

- NAMs for Cat I or Cat IV (TG 430, 431, 435, 439); Human-biology based DAs

## Skin sensitization

- EPA science policy, draft risk assessment, and OECD international DASS guideline

*Mansouri et al. 2021 EHP; Clippinger et al. 2021 Cut Ocu Tox; Rooney et al. 2021 Reg Tox Pharm; Allen et al. 2021 ALTEX; Hamm et al. 2021 Reg Tox Pharm*





National Institute of  
Environmental Health Sciences  
Division of Translational Toxicology

# Acknowledgments

## The NICEATM Group



Integrated  
Chemical  
Environment



Subscribe to NICEATM  
News email list