

Draft NTP Technical Report TR 581 on

Cobalt Metal

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Nomination

- Nominated for toxicology and carcinogenesis studies by the United Auto Workers and the Cobalt Development Institute with support from OSHA and NIOSH based on:
 - Widespread occupational exposure and occurrence of hard metal disease associated with exposure to cobalt and its compounds
 - Soluble cobalt compound, cobalt sulfate heptahydrate, previously tested by the NTP is carcinogenic by inhalation exposure
 - Limited data to assess the carcinogenic potential of inhaled insoluble cobalt compounds, particularly cobalt metal

Occupational Exposure

- Exposure primarily dermal or through inhalation
 - Skin contact-allergic dermatitis
 - Excess lung-cancer mortality
 - Hard metal pneumoconiosis- progressive interstitial fibrosis from exposure to cobalt-tungsten carbide (hard-metal) industry
- Production of alloys
 - Aircraft engines, grinding and cutting tools, artificial hip and knee joints
- Salts in paint dryers, catalysts and in the production of pigments
- Over a million U.S. workers potentially exposed to cobalt
 - Maximum OSHA permissible level is 0.1 mg/m³
 - ACGIH TLV-TWA is 0.02 mg/m³

Non-Occupational Exposure

- Environmental exposure
 - Present naturally in soil and in groundwater and sediments; mainly derived from erosion of volcanic rocks in the mountains
- Essential trace element
 - Required for production of red blood cells (used clinically to treat anemia in the 1950's)
 - Polycythemia at high doses
- Past use: beer foam stabilizer
 - Beer-drinker's cardiomyopathy (congestive heart failure)

Experimental Design

Genotoxicity: In vitro and in vivo (mice)

Two-Week Studies: F344/N rats: 0, 2.5, 5, 10, 20, 40 mg/m³

(n=5) B6C3F1/N mice: 0, 2.5, 5, 10, 20, 40 mg/m³

Three-Month Studies: F344/N rats: 0, 0.625, 1.25, 2.5, 5 mg/m³

(n=10) B6C3F1/N mice: 0, 0.625, 1.25, 2.5, 5,10 mg/m³

Two-Year Studies: F344/NTac rats: 0, 1.25, 2.5, 5 mg/m³

(n=50) B6C3F1/N mice: 0, 1.25, 2.5, 5 mg/m³

Tissue- Burden Studies: Two-week, three-month and two-year studies in

additional groups of rats and mice

Molecular Analysis: Lungs of F344N/Tac rats and B6C3F1/N mice

from two-year studies

Genetic Toxicology

- Positive in TA98 strain (without S9) in the Salmonella assay
- Negative in micronucleus assay (male and female mice)

Two-Week Studies in Rats

- Decreased survival in males and females at 40 mg/m³ and 20 mg/m³
- Significant decrease in mean body weight in males at 10 mg/m³ and females at 10 and 20 mg/m³ relative to controls
- Increased lung weights and nonneoplastic lesions in animals at ≥10 mg/m³
- Reduced urine volumes with concomitant increase in urine creatinine at ≥ 10 mg/m³
- Exposure concentration for 3-month studies: 0, 0.625, 1.25, 2.5, 5 mg/m³

Two-Week Studies in Mice

- Decreased survival in males and females at 40 mg/m³
- Significant decrease in mean body weight in males (9%) and females (16%) at 20 mg/m³ relative to controls
- Increased lung weights and nonneoplastic lesions of minimal severity at ≥ 5 mg/m³
 - Average severity grade of minimal in the 5 and 10 mg/m³ groups and increases in lung weights in 10 mg/m³ groups not considered sufficiently severe to preclude use of this concentration
- Exposure concentration for 3-month studies: 0, 0.625, 1.25, 2.5, 5, 10 mg/m³

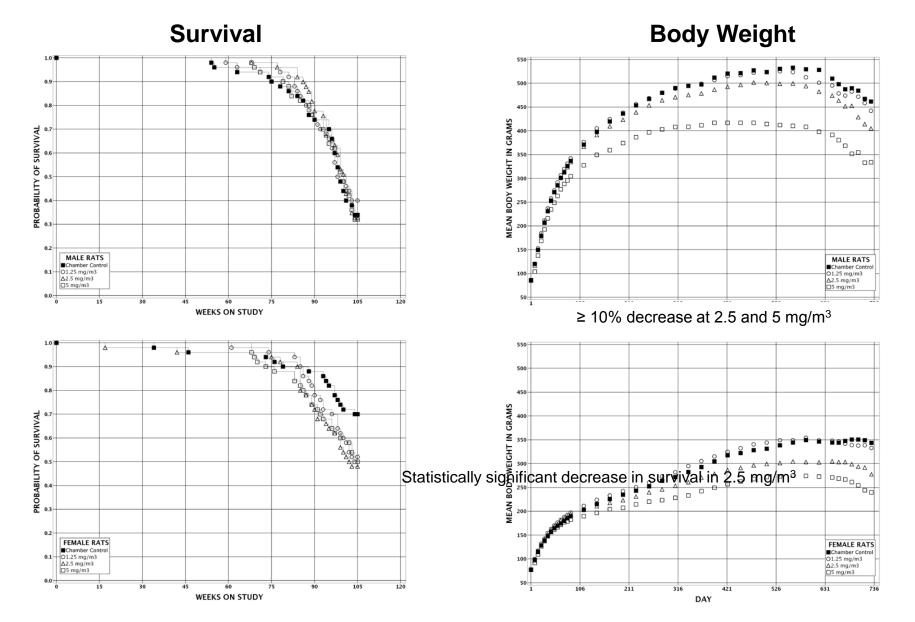
Three-Month Studies in Rats

- No exposure-related effect on survival
- Decreased body weight in 5 mg/m³ males and females, and decreased body weight gain in 5 mg/m³ males relative to controls
- Increased lung weights and nonneoplastic lesions in nose and lung
- Erythrocytosis in all groups
- Decreased glucose in males exposed to ≥1.25 mg/m³
- Exposure-concentration related decrease in cholesterol in males and females in the 2.5 and 5 mg/m³ groups
- Sperm motility significantly decreased in males
- Findings in 5 mg/m³ not considered sufficiently severe to preclude use of this concentration for 2-year studies

Three-Month Studies in Mice

- No exposure-related effect on survival
- Decreased final body weight (~13%) relative to control in 10 mg/m³
- Increased lung weights and nonneoplastic lesions in the nose and lung
- Exposure concentration-related decreases in testes weights, spermatid and epididymal spermatozoa counts, and sperm motility with histopathologic findings in testis and epididymis
- 5 mg/m³ used as highest concentration in 2-year studies
 - Based on reductions in body weights and moderate severity of nose and lung lesions in 10 mg/m³, this was considered too high

Two-Year Studies in Rats: Survival and Body Weight



Neoplastic Lesions in Rats: Lung (Clear Evidence)

| Male | Control | 1.25 mg/m ³ | 2.5 mg/m ³ | 5.0 mg/m ³ |
|-----------------------------------|---------|------------------------|-----------------------|-----------------------|
| Alveolar Bronchiolar Neoplasms | 50 | 50 | 50 | 50 |
| Adenoma (includes multiple) (3) | 2* | 10* | 10* | 14** |
| Carcinoma, multiple | 0 | 6* | 14** | 30** |
| Carcinoma (includes multiple) (0) | 0** | 16** | 34** | 36** |
| Adenoma or Carcinoma (3) | 2** | 25** | 39** | 44** |
| Female | Control | 1.25 mg/m ³ | 2.5 mg/m ³ | 5.0 mg/m ³ |
| Alveolar Bronchiolar Neoplasms | 50 | 50 | 50 | 50 |
| Adenoma (includes multiple) (0) | 2** | 7 | 9* | 13** |
| Carcinoma, multiple | 0 | 4 | 3 | 18** |
| Carcinoma (includes multiple) (0) | 0** | 9** | 17** | 30** |
| Adenoma or Carcinoma (0) | 2** | 15** | 20** | 38** |

^{*}Significantly different (p \leq 0.05) from chamber control by Poly-3 test; ** p \leq 0.01 Parenthesis represent historical controls, all routes in F344/NTac

Neoplastic Lesions in Rats: Lung

| Male | Control | 1.25 mg/m ³ | 2.5 mg/m ³ | 5.0 mg/m ³ |
|---------------------------------|---------|------------------------|-----------------------|-----------------------|
| Cystic keratinizing epithelioma | 0 | 1 | 0 | 1 |

CKE in males may have been related to exposure

| Female | Control | 1.25 mg/m ³ | 2.5 mg/m ³ | 5.0 mg/m ³ |
|---------------------------------|---------|------------------------|-----------------------|-----------------------|
| Cystic keratinizing epithelioma | 0 | 4 | 1 | 2 |
| Squamous cell carcinoma | 0 | 0 | 0 | 1 |

N = 50

CKE in females was considered related to exposure

Nonneoplastic Lesions in Rats: Lung

| Male | Control | 1.25 mg/m ³ | 2.5 mg/m ³ | 5.0 mg/m ³ |
|-------------------------------------|----------|------------------------|-----------------------|-----------------------|
| Lung | 50 | 50 | 50 | 50 |
| Alveolar Epithelium, Hyperplasia | 3 (1.0) | 47 (2.8)** | 49 (3.3)** | 49 (3.6)** |
| Alveolus, Proteinosis | 0 | 48 (2.6)** | 49 (2.9)** | 49 (3.1)** |
| Inflammation, Chronic Active | 22 (1.1) | 50 (3.0)** | 50 (2.9)** | 50 (2.9)** |
| Bronchiole, Epithelium, Hyperplasia | 0 | 44 (1.5)** | 47 (2.7)** | 50 (3.7)** |

^{**}Significantly different (p ≤ 0.01) from chamber control by Poly-3 test
In parenthesis: average severity grade of lesions in affected animals: 1=minimal, 2=mild, 3=moderate, 4=marked

Lesions in Rats: Adrenal Medulla (Clear Evidence)

| Male | Control | 1.25 mg/m ³ | 2.5 mg/m ³ | 5.0 mg/m ³ |
|------------------------------------|----------|------------------------|-----------------------|-----------------------|
| Pheochromocytoma | 50 | 50 | 50 | 50 |
| Benign (includes bilateral) (10) | 15** | 23 | 37** | 34** |
| Malignant (includes bilateral) (0) | 2** | 2 | 9* | 16** |
| Benign or Malignant (10) | 17** | 23 | 38** | 41** |
| Hyperplasia | 19 (2.3) | 21 (2.5) | 9 (3.0) | 9 (2.4) |
| Female | Control | 1.25 mg/m ³ | 2.5 mg/m ³ | 5.0 mg/m ³ |
| Pheochromocytoma | 50 | 50 | 50 | 50 |
| Benign (includes bilateral) (1) | 6** | 12 | 22** | 36** |
| Malignant (includes bilateral) (1) | 0** | 2 | 3 | 11** |
| Benign or malignant (2) | 6** | 13 | 23** | 40** |
| Hyperplasia | 12 (1.8) | 27 (2.0)** | 27 (2.3)** | 10 (2.8) |

^{*}Significantly different (p \leq 0.05) from chamber control by Poly-3 test; ** p \leq 0.01 Text in parenthesis represents historical controls, all routes in F344/NTac Text in italics represents a pre-neoplastic lesion

Neoplastic Lesions in Rats: Pancreas

| Male | Control | 1.25 mg/m ³ | 2.5 mg/m ³ | 5.0 mg/m ³ |
|--------------------------|---------|------------------------|-----------------------|-----------------------|
| Islet Cell | 50 | 50 | 48 | 49 |
| Adenoma (0) | 0 | 1 | 6* | 3 |
| Carcinoma (0) | 2* | 1 | 5 | 6 |
| Adenoma or Carcinoma (0) | 2** | 2 | 10* | 9* |

Was considered related to exposure

| Female | Control | 1.25 mg/m ³ | 2.5 mg/m ³ | 5.0 mg/m ³ |
|--------------------------|---------|------------------------|-----------------------|-----------------------|
| Islet Cell | 50 | 50 | 50 | 50 |
| Adenoma (1) | 0 | 0 | 0 | 1 |
| Carcinoma (0) | 1 | 0 | 0 | 3 |
| Adenoma or Carcinoma (0) | 1 | 0 | 0 | 3 |

May have been related to exposure

^{*}Significantly different (p \leq 0.05) from chamber control by Poly-3 test; ** p \leq 0.01 Text in parenthesis represent historical controls, all routes in F344/NTac

Neoplastic Lesions in Rats: Mononuclear Cell Leukemia

| Female | Control | 1.25 mg/m ³ | 2.5 mg/m ³ | 5.0 mg/m ³ |
|--------------------------------|---------|------------------------|-----------------------|-----------------------|
| Mononuclear cell leukemia (19) | 16 | 29** | 28* | 27* |

N = 50

Text in parenthesis represents historical controls, all routes in F344/NTac

Was considered related to exposure

^{*}Significantly different (p \leq 0.05) from chamber control by Poly-3 test; ** p \leq 0.01

Neoplastic Lesions in Rats: Kidney

| Male | Control | 1.25 mg/m ³ | 2.5 mg/m ³ | 5.0 mg/m ³ |
|---|---------|------------------------|-----------------------|-----------------------|
| Renal Tubule | 50 | 50 | 50 | 50 |
| Adenoma (includes multiple) (1) | 0 | 1 | 0 | 3 |
| Carcinoma (0,1) | 0 | 0 | 0 | 2 |
| Adenoma or Carcinoma (1) | 0* | 1 | 0 | 4 |
| | | | | |
| Adenoma (includes multiple) (Original + Step) | 3 | 1 | 1 | 6 |
| Carcinoma (Original + Step) | 0 | 0 | 0 | 2 |
| Adenoma or Carcinoma (Original + Step) | 3* | 1 | 1 | 7 |

^{*}Significantly different (p \leq 0.05) from chamber control by Poly-3 test; ** p \leq 0.01 Text in parenthesis represents historical controls, all routes in F344/NTac

May have been related to exposure

Nonneoplastic Lesions in Rats: Nose

| Male | Control | 1.25 mg/m ³ | 2.5 mg/m ³ | 5.0 mg/m ³ |
|-------------------------|----------|------------------------|-----------------------|-----------------------|
| Nose | 48 | 47 | 45 | 50 |
| Inflammation | | | | |
| Suppurative | 9 (1.0) | 12 (1.7) | 24 (2.2)** | 46 (2.6)** |
| Chronic Active | 28 (1.2) | 35 (1.3)* | 40 (1.7)** | 49 (2.6)** |
| Olfactory Epithelium | | | | |
| Atrophy | 2 (1.0) | 21 (1.0)** | 34 (1.0)** | 29 (1.2)** |
| Hyperplasia | 0 | 1 (1.0) | 2 (1.5) | 7 (1.1)** |
| Hyperplasia, Basal Cell | 0 | 1 (1.0) | 0 | 13 (1.0)** |
| Metaplasia, Respiratory | 12 (1.1) | 26 (1.7)** | 37 (1.5)** | 50 (2.2)** |
| Necrosis | 0 | 1 (1.0) | 5 (1.6)* | 5 (1.8)* |
| Respiratory Epithelium | | | | |
| Hyperplasia | 20 (1.3) | 35 (1.2)** | 45 (1.7)** | 50 (2.2)** |
| Metaplasia, Squamous | 0 | 1 (1.0) | 11 (1.2)** | 35 (1.3)** |
| Necrosis | 1 (1.0) | 4 (1.8) | 5 (1.4) | 13 (1.6)** |
| Turbinate, Atrophy | 1 (1.0) | 35 (1.0)** | 35 (1.0)** | 41 (1.0)** |

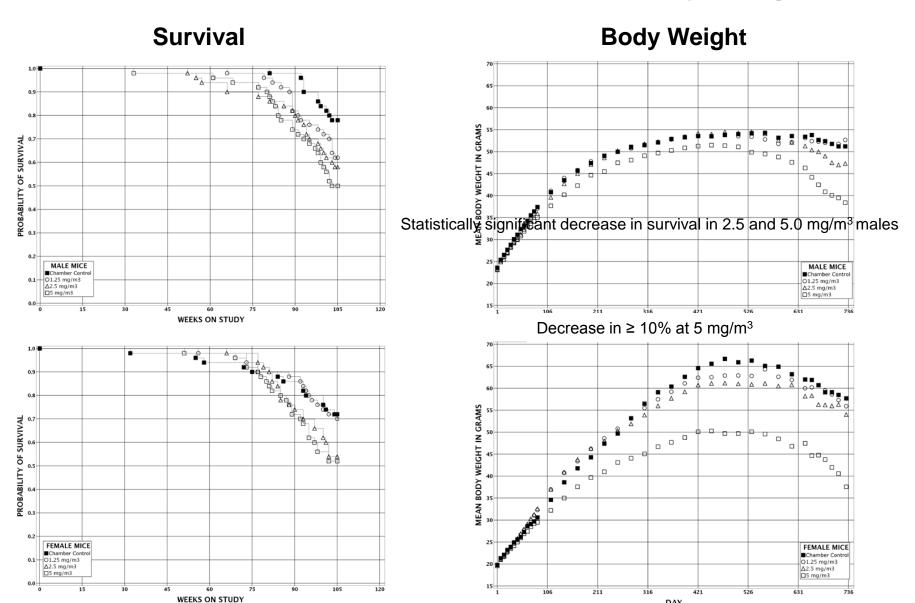
^{*}Significantly different (p ≤ 0.05) from chamber control by Poly-3 test; ** p ≤ 0.01 In parenthesis: average severity grade of lesions in affected animals: 1=minimal, 2=mild, 3=moderate, 4=marked

Nonneoplastic Lesions in Rats: Testes

| Male | Control | 1.25 mg/m ³ | 2.5 mg/m ³ | 5.0 mg/m ³ |
|---------|---------|------------------------|-----------------------|-----------------------|
| Testes | 50 | 49 | 50 | 50 |
| Infarct | 1 (3.0) | 0 | 2 (3.5) | 12 (2.7)** |

^{**}Significantly different (p ≤ 0.01) from chamber control by Poly-3 test In parenthesis: average severity grade of lesions in affected animals: 1=minimal, 2=mild, 3=moderate, 4=marked

Two-Year Studies in Mice: Survival and Body Weight



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Neoplastic Lesions in Mice: Lung (Clear Evidence)

| Male | Control | 1.25 mg/m ³ | 2.5 mg/m ³ | 5.0 mg/m ³ |
|--------------------------------------|---------|------------------------|-----------------------|-----------------------|
| Alveolar Bronchiolar Neoplasms | 50 | 49 | 50 | 50 |
| Adenoma (includes multiple) (4-10) | 7 | 11 | 15* | 3 |
| Carcinoma (includes multiple) (8-12) | 11** | 38** | 42** | 46** |
| Adenoma or Carcinoma (13-20) | 16** | 41** | 43** | 47** |
| Female | Control | 1.25 mg/m ³ | 2.5 mg/m ³ | 5.0 mg/m ³ |
| Alveolar Bronchiolar Neoplasms | 49 | 50 | 50 | 50 |
| Adenoma (includes multiple) (1-6) | 3* | 9 | 8 | 10* |
| Carcinoma (includes multiple) (0-5) | 5** | 25** | 38** | 43** |
| Adenoma or Carcinoma (1-8) | 8** | 30** | 41** | 45** |

^{*}Significantly different (p \leq 0.05) from chamber control by Poly-3 test; ** p \leq 0.01 Text in parenthesis represents historical incidence for inhalation studies in B6C3F1/N mice

Nonneoplastic Lesions in Mice: Lung

| Male | Control | 1.25 mg/m ³ | 2.5 mg/m ³ | 5.0 mg/m ³ |
|----------------------------------|----------|------------------------|-----------------------|-----------------------|
| Lung | 50 | 49 | 50 | 50 |
| Proteinosis | 2 (1.0) | 46 (1.7)** | 49 (3.1)** | 50 (3.9)** |
| Alveolar Bronchiolar Epithelium | | | | |
| Hyperplasia | 0 | 46 (1.0)** | 49 (1.6)** | 50 (2.3)** |
| Vacuolization Cytoplasmic | 0 | 49 (1.1)** | 47 (1.9)** | 48 (3.1)** |
| Alveolar Epithelium | | • | | |
| Hyperplasia | 4 (2.3) | 29 (1.7)** | 24 (1.8)** | 43 (2.0)** |
| Bronchiole, Epithelium | | | | |
| Hyperplasia | 4 (2.5) | 7 (1.3) | 9 (1.3) | 11 (1.5)* |
| Erosion | 0 | 4 (1.0) | 10 (1.3)** | 2 (1.0) |
| Alveolus | | | | |
| Infiltration Cellular Histiocyte | 10 (1.8) | 49 (1.8)** | 48 (2.5)** | 48 (3.1)** |

^{*}Significantly different (p \leq 0.05) from chamber control by Poly-3 test; ** p \leq 0.01 In parenthesis: average severity grade of lesions in affected animals: 1=minimal, 2=mild, 3=moderate, 4=marked

Nonneoplastic Lesions in Mice: Larynx and Trachea

| Male | Control | 1.25 mg/m ³ | 2.5 mg/m ³ | 5.0 mg/m ³ |
|----------------------------|---------|------------------------|-----------------------|-----------------------|
| Larynx | 48 | 47 | 49 | 50 |
| Respiratory Epithelium | | | | |
| Metaplasia, Squamous | 7 (1.0) | 47 (1.0)** | 49 (1.0)** | 49 (1.0)** |
| Vacuolization Cytoplasmic | 0 | 20 (1.0)** | 24 (1.0)** | 32 (1.1)** |
| Squamous Epithelium | | | | |
| Hyperplasia | 2 (1.0) | 5 (1.0) | 5 (1.0) | 8 (1.0)* |
| Trachea (Epithelium) | | | | |
| Vacuolization, Cytoplasmic | 0 | 14** (1.4) | 31** (1.6) | 37** (1.4) |

^{*}Significantly different (p ≤ 0.05) from chamber control by Poly-3 test; ** p ≤ 0.01 In parenthesis: average severity grade of lesions in affected animals: 1=minimal, 2=mild, 3=moderate, 4=marked

Nonneoplastic Lesions in Mice: Nose

| Male | Control | 1.25 mg/m ³ | 2.5 mg/m ³ | 5.0 mg/m ³ |
|----------------------------------|----------|------------------------|-----------------------|-----------------------|
| Nose | 50 | 49 | 50 | 50 |
| Inflammation, Suppurative | 16 (1.1) | 32 (1.9)** | 49 (2.7)** | 50 (3.1)** |
| Olfactory Epithelium | | | | |
| Atrophy | 3 (1.0) | 46 (1.2)** | 42 (1.2)** | 31 (1.2)** |
| Hyperplasia | 0 | 25 (1.2)** | 17 (1.0)** | 8 (1.1)** |
| Metaplasia, Respiratory | 5 (1.4) | 24 (1.3)** | 44 (2.3)** | 50 (3.1)** |
| Respiratory Metaplasia, Atypical | 0 | 14 (2.0)** | 9 (1.1)** | 1 (1.0) |
| Respiratory Epithelium | | | | |
| Accumulation, Hyaline Droplet | 13 (1.2) | 29 (1.1)** | 29 (1.1)** | 7 (1.0) |
| Metaplasia, Squamous | 3 (1.0) | 45 (1.0)** | 35 (1.1)** | 33 (1.2)** |
| Vacuolization Cytoplasmic | 0 | 41 (1.2)** | 39 (1.2)** | 37 (1.4)** |
| Turbinate, Atrophy | 3 (1.3) | 25 (1.3)** | 49 (2.1)** | 50 (3.3)** |

^{**}Significantly different (p ≤ 0.01) from chamber control by Poly-3 test In parenthesis: average severity grade of lesions in affected animals: 1=minimal, 2=mild, 3=moderate, 4=marked

Nonneoplastic Lesions in Mice: Testes

| Male | Control | 1.25 mg/m ³ | 2.5 mg/m ³ | 5.0 mg/m ³ |
|-----------------------------------|---------|------------------------|-----------------------|-----------------------|
| Testes | 50 | 49 | 50 | 50 |
| Germinal Epithelium, Degeneration | 9 (1.6) | 14 (1.6) | 8 (1.6) | 21** (1.5) |

^{**}Significantly different (p ≤ 0.01) from chamber control by Poly-3 test In parenthesis: average severity grade of lesions in affected animals: 1=minimal, 2=mild, 3=moderate, 4=marked

Tissue Burden Studies

Conducted in rats and mice

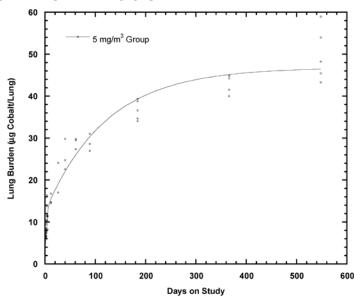
- 2-week studies
 - Males and females at the end of exposure (lung, blood, serum, kidney, liver, heart, femur, testis, urine)
 - Additional groups of females at 3-weeks post-exposure (lung, blood, serum) to estimate clearance
- 3-month studies
 - Additional groups of females
 - Time-points during exposure, end of exposure (lung, liver, blood), and post-exposure (lung and blood)
- 2-year studies
 - Additional groups of females
 - Time-points during exposure (lung)

Endpoints evaluated

- Tissue weights, concentrations and burdens
- Lung and blood deposition and clearance parameters

Tissue Burden Studies

- Cobalt concentrations and burdens were increased in all studies, all tissues examined
 - Systemic distribution and toxicity/carcinogenicity of rats and mice to cobalt
- Burden data normalized to exposure concentration similar among exposure groups
 - No disproportionate differences in cobalt deposition/clearance with increasing exposure concentration
- Lung deposition and clearance: Two-compartment model
 - Most of cobalt eliminated rapidly $(t_{1/2})$ of 1-5 days
 - Lung concentrations reached steady state
 - Low steady state burdens
- No evidence of lung overload



Conclusions: Male F344/NTac Rats

- Clear evidence of carcinogenic activity based on increased incidences of:
 - Alveolar/bronchiolar adenoma and carcinoma in the lung including multiples
 - Benign and malignant pheochromocytoma of the adrenal medulla, including bilateral neoplasms
- Considered related to exposure
 - Pancreatic islet adenoma or carcinoma (combined)
- May have been related to exposure
 - Cystic keratinizing epithelioma of the lung
 - Renal tubule adenoma or carcinoma (combined)

Conclusions: Female F344/NTac Rats

- Clear evidence of carcinogenic activity based on increased incidences of:
 - Alveolar/bronchiolar adenoma and carcinoma in the lung including multiples
 - Benign and malignant pheochromocytoma of the adrenal medulla, including bilateral neoplasms
- Considered related to exposure
 - Squamous cell neoplasms of lung (cystic keratinizing epithelioma)
 - Mononuclear cell leukemia
- May have been related to exposure
 - Pancreatic islet carcinoma

Conclusions: Male and Female B6C3F1/N Mice

- Clear evidence of carcinogenic activity based on increased incidences of:
 - Alveolar/bronchiolar neoplasms of the lung (predominantly carcinoma), including multiple carcinoma

Conclusions: Nonneoplastic Lesions

- Increased incidences in:
 - Lung and nose in male and female rats
 - Testes in male rats and mice
 - Adrenal medulla in female rats
 - Lung, nose, larynx, and trachea in male and female mice