Aristolochic Acids

CAS No.: none assigned
Known to be human carcinogens
First listed in the Twelfth Report on Carcinogens (2011)

Carcinogenicity
Aristolochic acids are known to be human carcinogens based on sufficient evidence of carcinogenicity from studies in humans and supporting data on mechanisms of carcinogenesis. Evidence of carcinogenicity from studies in experimental animals supports the findings in humans.

Cancer Studies in Humans
The evidence for carcinogenicity in humans is based on (1) findings of high rates of urothelial cancer, primarily of the upper urinary tract, among individuals with renal disease who had consumed botanical products containing aristolochic acids and (2) mechanistic studies in humans which demonstrate that aristolochic acids are the carcinogenic agents in these products.

Evidence for the carcinogenicity of aristolochic acids was first identified in studies of Belgian patients with nephropathy (progressive interstitial renal fibrosis) related to the consumption of herbal medicines. The patients had consumed Chinese herbal medicines that were inadvertently contaminated with plant species of the genus Aristolochia. Aristolochic acids were considered to be the cause of the nephropathy (now referred to as “aristolochic acid nephropathy,” or AAN) because (1) the nephropathy developed immediately after ingestion of the herbs, (2) in most cases, the patients had not been exposed to other agents known to be risk factors for nephropathy, (3) aristolochic acids were identified in the herbal products, and (4) aristolochic acid metabolites bound to DNA (AA-DNA adducts) were found in tissues (usually kidney or urothelial tissue) from some of the patients. Over 100 cases of AAN have been reported in Belgium and over 170 cases in other locations, including the United States, Great Britain, Japan, Taiwan, and China (Arlt et al. 2002, NTP 2008).

Two prevalence studies in Belgium (at Cliniques Universitaires St.-Luc and Hospital Erasme) reported high rates of urothelial cancer (40% to 46%), mainly of the upper urinary tract, among female AAN patients who had received kidney transplants (Cosyns et al. 1999, Nortier et al. 2000, Nortier and Vanherweghem 2002). This rate of urothelial cancer among AAN patients is much higher than the incidence or prevalence of transitional-cell carcinoma of the urinary tract (i.e., urothelial carcinoma) (0.89% to 4%) reported in several studies of Chinese patients with renal disease, either renal-transplant patients or dialysis patients (Ou et al. 2000, Wu et al. 2004, and Li et al. 2008). Neither prevalence study had an unexposed comparison group. Both studies identified aristolochic acids in the botanical products consumed by the patients, and both studies detected AA-DNA adducts in kidney tissue from the patients, demonstrating that the patients had been exposed to aristolochic acids. In the study at Hospital Erasme, the rate of urothelial cancer was significantly higher among AAN patients who had consumed a high dose of the plant Aristolochia fangchi than among patients who had consumed a lower dose. Furthermore, AAN patients with and without urothelial cancer did not differ significantly with respect to other risk factors for urothelial cancer, such as smoking or the use of analgesics or nonsteroidal anti-inflammatory drugs. A 15-year follow-up study of AAN patients from Hospital Erasme found a rate of upper-urinary-tract urothelial cancer similar to that previously reported by Nortier and colleagues (Lemy et al. 2008). In addition, AAN patients with upper-urinary-tract urothelial cancer had an unusually high incidence of urinary-bladder urothelial cancer.

Additional case reports and clinical investigations of urothelial cancer in AAN patients outside of Belgium support the conclusion that aristolochic acids are carcinogenic (NTP 2008). The clinical studies found significantly increased risks of transitional-cell carcinoma of the urinary bladder and upper urinary tract among Chinese renal-transplant or dialysis patients who had consumed Chinese herbs or drugs containing aristolochic acids, using non-exposed patients as the reference population (Li et al. 2005, 2008).

Molecular studies suggest that exposure to aristolochic acids is also a risk factor for Balkan endemic nephropathy (BEN) and upper-urinary-tract urothelial cancer associated with BEN (Grollman et al. 2007). BEN is a chronic tubulointerstitial disease of the kidney, endemic to Serbia, Bosnia, Croatia, Bulgaria, and Romania, that has morphology and clinical features similar to those of AAN. It has been suggested that exposure to aristolochic acids results from consumption of wheat contaminated with seeds of Aristolochia clematitis (Ivic 1970, Hranjec et al. 2005, NTP 2008). AA-DNA adducts were found in kidney tissue from BEN patients and in urothelial and kidney (renal cortical) tissues from BEN patients who had upper-urinary-tract urothelial cancer. Furthermore, A:T to T:A transversion mutations in the p53 tumor-suppressor gene were found in urothelial tumors from BEN patients (Grollman et al. 2007).

The available studies are limited in their ability to formally address confounding by other factors that could increase the risk of cancer, and the case-series studies did not include unexposed controls; however, a causal association between exposure to aristolochic acids and human cancer is evidenced by the strength of the association, consistency across studies, dose-response effects, detection of AA-DNA adducts in exposed patients, timing of the exposure and disease, and specific mutations in the p53 gene similar to the A:T to T:A transversions seen in rodents and rodent cell cultures exposed to aristolochic acids. The finding of urothelial cancer among patients who consumed a variety of botanical products from different plant species known to contain aristolochic acids provides additional support for the role of aristolochic acids as the cancer-causing agent in the botanical products. In 2000, the International Agency for Research on Cancer concluded that there was sufficient evidence for the carcinogenicity of herbal remedies containing plant species of the genus Aristolochia in humans (IARC 2002). In 2008, IARC concluded that aristolochic acids also were carcinogenic to humans (Grosse et al. 2009).

Studies on Mechanisms of Carcinogenicity
Aristolochic acids are absorbed after oral exposure; no data are available on absorption after dermal or inhalation exposure (NTP 2008). Aristolochic acids I and II (AAs I and II) are the most widely studied aristolochic acids. Aristolochic acids are metabolized to aristolactams, which are further metabolized to a cyclic N-acylnitrenium ion, a reactive intermediate that forms adducts with purine bases (adenine and guanine) in DNA (dA-AAI, dG-AAI, dA-AAII, and dG-AAII). A number of cytosolic and microsomal enzymes (CYP1A1, CYP1A2, NADPH-CYP reductase, prostaglandin H synthase, DT-diaphorase, xanthine oxidase, cyclooxygenase, and NAD(P)H:quinone oxidoreductase) are capable of bioactivating aristolochic acids to the reactive form (NTP 2008).

DNA adducts have been detected in vitro in experimental animals exposed to aristolochic acids and in human tissue from individuals exposed to aristolochic acids, including individuals with AAN, BEN, or urothelial cancer associated with AAN or BEN (Grollman et al. 2007, NTP 2008). In animals, adducts have been detected in the forestomach and stomach, urinary tract (kidney and urinary tract urothelium), and bladder urothelial cancer. Additional case reports and clinical investigations of urothelial cancer in AAN patients outside of Belgium support the conclusion that aristolochic acids are carcinogenic (NTP 2008). The clinical studies found significantly increased risks of transitional-cell carcinoma of the urinary bladder and upper urinary tract among Chinese renal-transplant or dialysis patients who had consumed Chinese herbs or drugs containing aristolochic acids, using non-exposed patients as the reference population (Li et al. 2005, 2008).

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Aristolochic acids (purified I or II or mixtures) have been shown to be mutagenic in bacteria, cultured cells, and rodent tissues. AA I has been tested the most extensively. In in vitro assays, purified aristolochic acids induced mutations in the bacterium Salmonella typhimurium and in cultured mammalian cells, including (1) hprt mutations in rat fibroblast-like cells and Chinese hamster ovary cells, (2) forward mutations in mouse lymphoma cells, and (3) mutations in the p53 DNA-binding domain in two studies with fibroblast cell cultures from human p53 knockout (Hupki) mice (mice carrying a humanized p53 gene sequence) (NTP 2008). Mutations were identified in the p53 DNA-binding domain in one third (6 of 18) to one half (5 of 10) of the established Hupki mouse fibroblast cultures; A:T to T:A transversions were predominant, occurring in at least 80% of the cell lines with mutations (Liu et al. 2004). Aristolochic acid mixtures or plant extracts caused mutations in S. typhimurium and sex-linked recessive lethal mutations in the fruit fly Drosophila melanogaster (NTP 2008). In studies with rodent tissues exposed in vivo, exposure to aristolochic acid mixtures or plant extracts caused (1) mutations in subcutaneous granulation tissue from Sprague-Dawley rats (Maier et al. 1985), (2) mutations of the lacZ transgene in forestomach, kidney, and colon tissue from transgenic Muta mice (Kohara et al. 2002), and (3) mutations of the clg transgene in liver and kidney tissue from transgenic Big Blue rats (Chen et al. 2006, Mei et al. 2006). A:T to T:A transversions were the predominant mutation type in the Muta mice and Big Blue rats. Exposure to AA I also caused mutations in granulation tissue from Sprague-Dawley rats (Maier et al. 1987).

Aristolochic acids have been shown to bind to adenine in codon 61 in the H-ras mouse oncogene and to purines in the human p53 gene. Mutations identified in tumors of rodents exposed to aristolochic acids include A:T to T:A transversions in codon 61 of the c-Ha-ras gene in forestomach tumors (from rats and mice), lung tumors (from rats and mice), and ear-duct tumors (from rats). No mutations were identified in tissues from rats with chronic renal failure that had not been exposed to aristolochic acids (Schmeiser et al. 1990, 1991). Similar findings have been reported in humans. A:T to T:A transversion mutations of the p53 gene were identified in a uterine tumor from an AAN patient (Yard et al. 2004) and at a high frequency (78%) in BEN patients with upper-urinary-tract urothelial cancer. The frequency of A:T to T:A transversions of p53 mutations in bladder and ureter tumors not caused by aristolochic acid exposure was approximately 5% (Grollman et al. 2007). Moreover, there was concordance between the location of the p53 A to T transversions and mutations identified in fibroblast cell cultures from human p53 knock-in (Hupki) mice treated with AA I (Nedekko et al. 2009).

Aristolochic acids also caused other types of genetic damage in other test systems with and without mammalian metabolic activation. Aristolochic acids I and II and mixtures caused DNA damage in the SOS chromotest in the bacterium Escherichia coli, and aristolochic acid mixtures caused sex-chromosome loss and somatic recombination in D. melanogaster. In mammalian cells exposed in vitro, aristolochic acid mixtures caused chromosomal aberrations, sister chromatid exchange, and micronucleus formation in human lymphocytes. AA I also caused chromosomal aberrations and sister chromatid exchange in Chinese hamster ovary cells. Neither AA I nor AA II induced DNA strand breaks in rat liver cells, but aristolochic acids caused DNA damage in a pig kidney cell line (proximal tubular epithelial cells) and in human hepatocellular carcinoma cells. In mammalian in vivo studies, aristolochic acids (composition not specified) did not induce unscheduled DNA synthesis in the pyloric mucosa of male rats. DNA damage was reported in kidney cells isolated from male Sprague-Dawley rats administered a single oral dose of an aristolochic acid mixture. One study reported that intravenous injection of aristolochic acid mixtures increased micronucleus formation in polychromat erythrocytes in bone marrow from NMRI male and female mice, but another study found no increase in micronucleus formation in peripheral blood reticulocytes from male Muta mice exposed orally to a mixture of AAs I and II (NTP 2008).

Together, these findings strongly suggest that exposure to aristolochic acids causes urothelial cancer in humans through formation of DNA adducts (specifically, through binding of the reactive metabolite with adenine) and the resulting transversion mutations in oncogenes.

Cancer Studies in Experimental Animals

There is sufficient evidence for the carcinogenicity of aristolochic acids in experimental animals based on studies showing that aristolochic acids caused tumors in rodents and rabbits at several different tissue sites and by several different routes of exposure. Although the studies in which aristolochic acids were administered orally or by injection typically were small and of short duration, they showed clear evidence of carcinogenicity. In nearly all of the studies, aristolochic acids caused urothelial tumors, as they did in humans.

Oral exposure to aristolochic acids caused predominantly fore­stomach and urinary-tract tumors, and administration by injection caused mainly urinary-tract tumors and connective-tissue tumors (sarcoma) at the injection site (NTP 2008). In female mice, oral exposure to aristolochic acids caused tumors of the forestomach, stomach, kidney, lung, and uterus and malignant lymphoma (Mengs 1988). In several studies in rats, oral exposure to aristolochic acids caused tumors of the forestomach, kidney (renal-cell and renal-pelvis tumors), urinary bladder, ear duct, thymus, small intestine, and pancreas. Single instances were also reported of tumors of the hematopoietic (blood-producing) system, heart, lung, mammary gland, pitu­itary gland, and peritoneum (NTP 2008). Male Wistar rats receiving daily subcutaneous injections of aristolochic acids developed uro­thelial carcinoma of the renal pelvis and malignant fibrohistiocytic sarcoma at the injection site (Debelle et al. 2002). A single intraperitoneal injection of aristolochic acids initiated liver carcinogenesis in male F344 rats that had also received treatment to stimulate prolif­eration of liver cells (Rossiello et al. 1993). Aristolochic acids admin­istered to female New Zealand White rabbits by intraperitoneal injection caused kidney tumors, a urinary-tract tumor, and mesothelioma of the peritoneal cavity (Cosyns et al. 2001).

Three studies investigated the carcinogenicity of extracts of Aris­tolochia (one study each for A. manshuriensis, A. clematitis, and A. contorta) when administered to rats orally or by injection. Follow­ing oral administration, tumors of the forestomach and kidney were the most prevalent findings (Hwang et al. 2006), but one study re­ported tumors of the mammary gland, thyroid gland, and skin (Qiu et al. 2000), and one study reported injection-site polymorphocellular sarcoma (Ivic 1970). In one study, rats of both sexes were exposed to a weight-loss regimen of herbal ingredients that contained aris­tolochic acids; the males developed forestomach tumors (papilloma and squamous-cell carcinoma) (Cosyns et al. 1998).

Properties

Aristolochic acids are a family of nitrophanthrene carboxylic acids that occur naturally in plants in the family Aristolochiaceae. The
For definitions of technical terms, see the Glossary.

Aristolochic acid derivatives, including aristolactams and other compounds, in extracts from Aristolochia cinnabarina roots, and Wu et al. (1994) identified 14 aristolochic acid derivatives in extracts from stems and roots of Aristolochia kaukaensis.

Aristolochic acids are produced commercially as reference standards and as research chemicals (IARC 2002). No data were found on U.S. producers or production volume, but in 2004, aristolochic acids were available from nine U.S. suppliers of aristolochic acid A (AA I), one supplier each of aristolochic acids B and D (AAs II and IV), three suppliers of aristolochic acid C (AA IIIa), and three suppliers of aristolochic acid, sodium salt (ChemSources 2004). No specific data on U.S. production, imports, or sales of botanical products that might contain aristolochic acids were found; however, many U.S. suppliers offer products that could contain aristolochic acids. Gold and Slone (2003) identified 112 botanical products that could contain aristolochic acids and were available for purchase over the Internet.

**Exposure**

Exposure to aristolochic acids may occur through ingestion as a result of intentional or inadvertent use of herbal or botanical products that contain Aristolochia or Asarum species. Exposure to aristolochic acids through ingestion of flour from wheat contaminated with A. clematitis has been proposed as a cause for BEN. Herbal preparations are available in several forms (e.g., capsules, extracts, teas, or dried herbs). Exposure also could potentially occur through direct contact with the plants, either in their natural habitats or as cultivated ornamentals. Direct contact with the leaves of Asarum canadense (Canadian snakeroot or wild ginger) has been reported to cause dermatitis (PFAF 2005).

Schaneberg and Khan (2004) purchased from Internet Web sites 25 herbal products suspected of containing aristolochic acids, of which nine were manufactured in the United States and the rest in China. AAs I and II were detected in six of the products, each of which contained six or more types of plants. The U.S. Food and Drug Administration has reported recalls of products containing aristolochic acids beginning in 2000 and continuing with the report of a recall of two products in 2008 (Tou Tong San [Headache Formula] and Du Huo Ji Sheng Tang [Du Huo Joint Relief]) (FDA 2008). Two herbal remedies prepared from Aristolochia debilis or A. contorta appeared in the official 2005 Chinese pharmacopeia, and three additional entries for drugs derived from A. debilis, A. fangchi, and A. manshuriensis were cancelled in 2003 and 2004 because the content of aristolochic acid in the drugs was high enough to cause AAN (Zhang et al. 2006).

In addition to the intentional uses of aristolochic acid-containing plants, herbal preparations can pose a number of quality-related problems, which can lead to inadvertent exposures. These include contamination with prohibited or restricted substances, substitution of ingredients, contamination with toxic substances, and differences between the labeled and actual product contents (MCA 2002).

The complexity of herbal nomenclature systems used in traditional medicines (particularly traditional Chinese medicines) can lead to confusion and increased risk of inadvertent exposure to aristolochic acids (Flurer et al. 2001), which was reported for cases in Hong Kong (Liang et al. 2006), Belgium (Vanherweghem 1998), and Singapore (Koh et al. 2006). Substitutions arising because of name confusion have also been reported between botanicals used in Japanese herbal medicines and botanicals with similar names used in Chinese herbal medicines (Tanaka et al. 2001, EMEA 2005). The most extensive exposure resulting from name confusion occurred in the early 1990s in Belgium, where A. fangchi was inadvertently substituted for Stephania tetrandra to prepare diet pills. The Chinese name for S. tetrandra is “fang ji,” which is similar to the name for aristolochic acid—con-

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**Use**

*Aristolochia* plants have been used since ancient times in traditional medicines in many parts of the world, and aristolochic acids have been reported to have antibacterial, antiviral, antifungal, and antitumor effects (Kupchan and Doskotch 1962, Zhang et al. 2004). The name *Aristolochia* (meaning the best delivery or birth) is thought to be of ancient Greek origin and reflects centuries of use in obstetrics. Other traditional uses include treatment for snakebite, scorpion stings, fever, infection, diarrhea, and inflammation (Arlt et al. 2002, Jiménez-Ferrer et al. 2005). In contemporary medicine, *Aristolochia* plant extracts have been used in therapies for arthritis, gout, rheumatism, and festering wounds, but these uses were discontinued in Germany and other countries after the carcinogenic and mutagenic properties of aristolochic acids were first reported in the early 1980s (Arlt et al. 2002). Other uses of *Aristolochia* plants include cultivation as ornamental plants. Aristolochic acids also have been used in studies of toxicity and carcinogenicity and in biochemical studies as relatively selective inhibitors of the enzyme phospholipase A2 (NTP 2008).

**Occurrence and Production**

Aristolochic acids have been detected only in plant species belonging to the family Aristolochiaceae, primarily of the genera *Aristolochia* and *Asarum*. More than 30 *Aristolochia* species are native to the United States, and they are present in most states (USDA 2005). The most widely distributed native species include *A. serpentaria* (Virginia snakeroot), *A. tomentosa* (woolly Dutchman’s pipe), *A. macrophylla* (pipevine), and *A. clematitis* (birthwort). In addition, some non-native species are grown as ornamentals or have escaped cultivation and become naturalized. Worldwide, there are an estimated 200 to 350 *Aristolochia* species, and virtually all of them contain aristolochic acids (NTP 2008). *Asarum* species (wild gingers) also are widely distributed in the United States. Plants of the genus *Hexastylis*, a group of rare plants endemic to the southeastern United States, were reported to have “unexpectedly high levels” of aristolochic acids (Schaneberg et al. 2002). A number of studies have reported concentrations of AAs I and II in medicinal plants, including several species used in traditional Chinese medicine. Concentrations ranged from 3 to 12,980 ppm for AA I and from not detected to 6,325 ppm for AA II. In *Asarum* species, concentrations of AAs I and II ranged from trace levels to 3,377 ppm. Other studies detected AA IVa at concentrations of 79 to 3,360 ppm of crude drug, aristolactam I at 6 to 358 ppm, and aristolactam II at 14 to 91 ppm (NTP 2008). Hong et al. (1994) identified 11 aristolochic acid derivatives, including aristolactams and other compounds, in extracts from *Aristolochia cinnabarina* roots, and Wu et al. (1994) identified 14 aristolochic acid derivatives in extracts from stems and roots of *Aristolochia kaukaensis*.

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The complexity of herbal nomenclature systems used in traditional medicines (particularly traditional Chinese medicines) can lead to confusion and increased risk of inadvertent exposure to aristolochic acids (Flurer et al. 2001), which was reported for cases in Hong Kong (Liang et al. 2006), Belgium (Vanherweghem 1998), and Singapore (Koh et al. 2006). Substitutions arising because of name confusion have also been reported between botanicals used in Japanese herbal medicines and botanicals with similar names used in Chinese herbal medicines (Tanaka et al. 2001, EMEA 2005). The most extensive exposure resulting from name confusion occurred in the early 1990s in Belgium, where *A. fangchi* was inadvertently substituted for *Stephania tetrandra* to prepare diet pills. The Chinese name for *S. tetrandra* is “fang ji,” which is similar to the name for aristolochic acid—con-
taining *A. fangchi* ("guang fang ji"). An estimated 1,500 to 2,000 individuals (primarily women) were exposed to the *Stephania*-labeled powders that contained aristolochic acids ranging from below the detection limit (< 0.02 mg/g) to 2.9 mg/g (2,900 ppm) (Vanherweghem 1998). The resulting maximum dose of aristolochic acids was estimated at 0.025 mg/kg received over an average of 13 months (Grollman et al. 2009).

For botanical products, high concentrations or intake of aristolochic acids have been reported in studies from China (AA I at 700 ppm, with estimated AA intake of 110 mg), Taiwan (AA I at up to 19.97 nmol/g and AA II at up to 3.95 nmol/g), Hong Kong (intake of herb from 100 mg to 800 g), Japan (total AA at up to 15.1 ppm), Australia (AA I at up to 40 ppm and AA II at up to 210 ppm), and Switzerland (AA I at up to 440 ppm) (NTP 2008). Chinese patients who developed chronic renal failure had ingested an estimated 0.7 to 1.5 mg of aristolochic acids per day intermittently for 1 to 10 years (Grollman et al. 2009).

No estimates were found of the number of people in the United States who are exposed to aristolochic acids in herbal medicines, but two U.S. cases of renal failure resulting from ingestion of herbal products containing aristolochic acids have been reported (Meyer et al. 2000, Consumer Reports 2004, Grollman et al. 2007). The use of all complementary and alternative medicines increased in the 1990s and 2000s (Barnes et al. 2004, Bent and Ko 2004). The Centers for Disease Control and Prevention reported that 10% of adults in the United States ingested herbal medicines in 1999 (Straus 2002), and the total spent on herbs and other botanical remedies in 2001 was $4.2 billion (Marcus and Grollman 2002).

The possibility also exists for exposure to aristolochic acids in food. It has been suggested that contamination of wheat flour by *Aristolochia* species growing as weeds adjacent to wheat fields might be responsible for BEN (Ivic 1970, Hranjec et al. 2005). Indeed, seeds of *A. clematitis* have been found commingled with wheat grain during harvest in regions where BEN is endemic (Grollman and Jelakovic 2007). It has been estimated that at least 25,000 individuals are suspected of having BEN and that over 100,000 individuals residing in endemic regions could be at risk (DeBelle et al. 2008). As noted above, AA-DNA adducts were found in kidney tissue from BEN patients and in urothelial and kidney (renal cortical) tissues from BEN patients who had upper-urethral–tract urothelial cancer. Because *Aristolochia* species are widely distributed and wheat can be traded internationally, there is the potential for worldwide exposure from this source; however, no data were found to support this hypothesis.

Extracts from *Asarum canadense* and *Aristolochia serpentaria* are permitted for use in the United States as flavoring substances in foods or beverages (FDA 2003); *A. serpentina* has been reported to be used as a spice and to flavors liqueurs or bitters, such as Angostura or Boonekamp bitters, but no information was found on the concentrations of aristolochic acid in these products.

Although occupational exposure to aristolochic acids has not been documented, herbalists potentially are exposed while gathering plants or while preparing or applying botanical products. Gardeners, landscapers, or nursery workers who handle or transplant *Aristolochia* or *Asarum* plants could potentially be exposed to aristolochic acids. Handling *Aristolochia* or *Asarum* plants could result in dermal exposure, which, as of 2010, has been associated only with dermatitis. To reduce the likelihood of accidental ingestion, workers should wash their hands before eating, drinking, or smoking.


