



# INTERNATIONAL EFFORTS IMPLEMENTING NAMS FOR ASSESSING DEVELOPMENTAL NEUROTOXICITY IN CHEMICAL RISK ASSESSMENT

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# DEVELOPMENTAL NEUROTOXICITY (DNT)

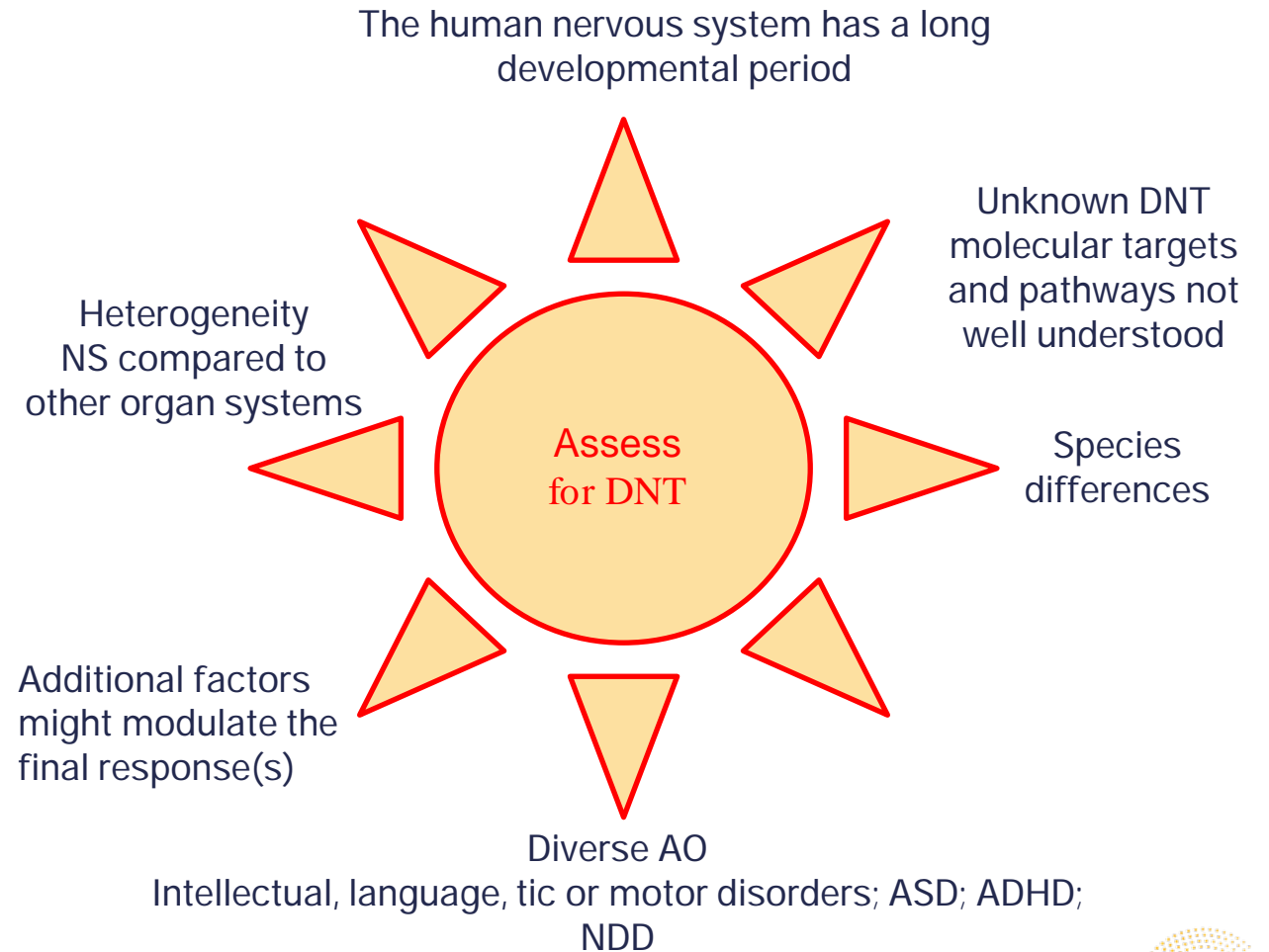
DNT chemicals: diverse set of substances that have the potential to interfere **with the normal development of the nervous system**, which, if perturbed **without compensation**, may lead to adverse effects on nervous system **structures and/or functions**.

Evaluating DNT as one of the endpoints to assess chemical safety is embedded in various regulations and several TGs are available for DNT in vivo screening.

However, use have been limited, potentially due to their methodological and interpretation complexity, ethical concerns and high costs.

**OECD N377., Key success factors:**

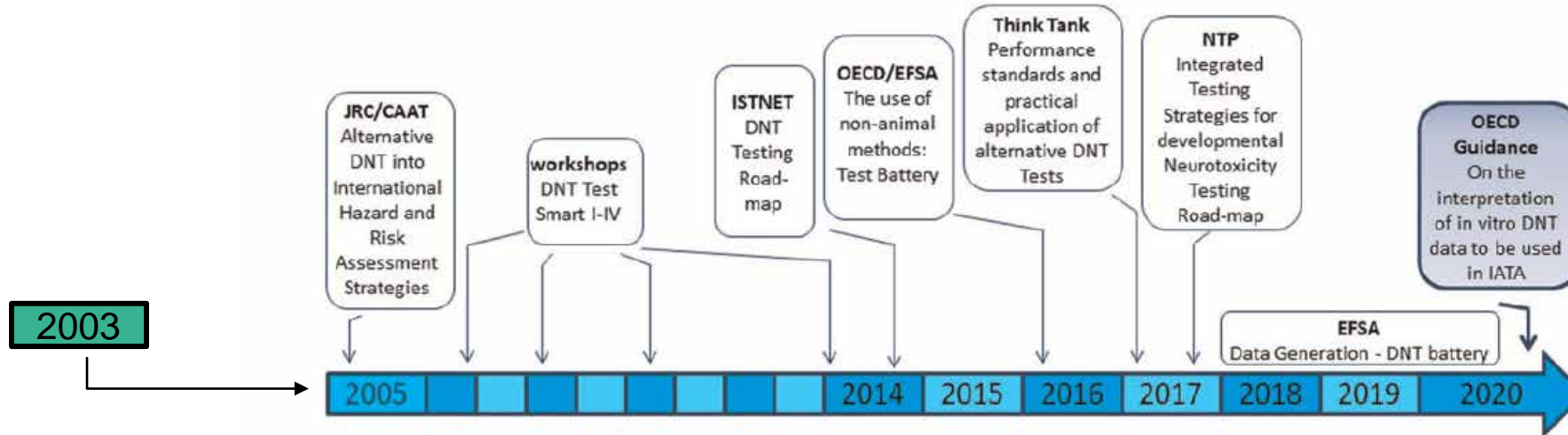
- Collaborative effort
- Use the data in real case studies



Developing and identifying an appropriate test system for DNT testing is challenging.



# BUILDING THE DNT IVB



Advances using in vitro neural models combined with technology for higher throughput analysis has fostered the development of assays.

Available online at [www.sciencedirect.com](http://www.sciencedirect.com)

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Environmental Toxicology and Pharmacology 19 (2005) 735–744

ENVIRONMENTAL TOXICOLOGY AND PHARMACOLOGY  
ETAP  
[www.elsevier.com/locate/etap](http://www.elsevier.com/locate/etap)

ELSEVIER

In vitro and other alternative approaches to developmental neurotoxicity testing (DNT)

Pamela Lein<sup>a,b,\*</sup>, Ellen Silbergeld<sup>a</sup>, Paul Locke<sup>a</sup>, Alan M. Goldberg<sup>a</sup>

Bringing together *basic researchers* in the fields of developmental neurobiology and mechanistic toxicology with *policy analysts and decision makers*

Adapted from Tim Shafer slide



# EFSA SUPPORT TO THE INTERNATIONAL EFFORT



Systematic literature review of available methods  
 Readiness criteria  
 SPSF Inclusion WNT plan  
 Better understating  
 OECD EG WNT plan  
 Experimental Work  
 IATA case studies  
 European Stakeholders Workshop  
 OECD WNT approval  
 Launching DNT IVB EFSA pesticides implementation projects

Can NAMs completely ~~replace~~ a DNT paradigm ?


Can NAMs be useful in refining and reducing animal testing and in producing a more informative risk assessment?

- Transferability to GLP/ reproducibility
- Produce high quality data
- Use the data: AOP IATA
- Interpretative guidance PoD: QIVIVE





# COMMON NEEDS : THE OECD NO. 377



Organisation for Economic Co-operation and Development

ENV/CBC/MONO(2023)13 | 1

Unclassified English - Or. English  
3 November 2023

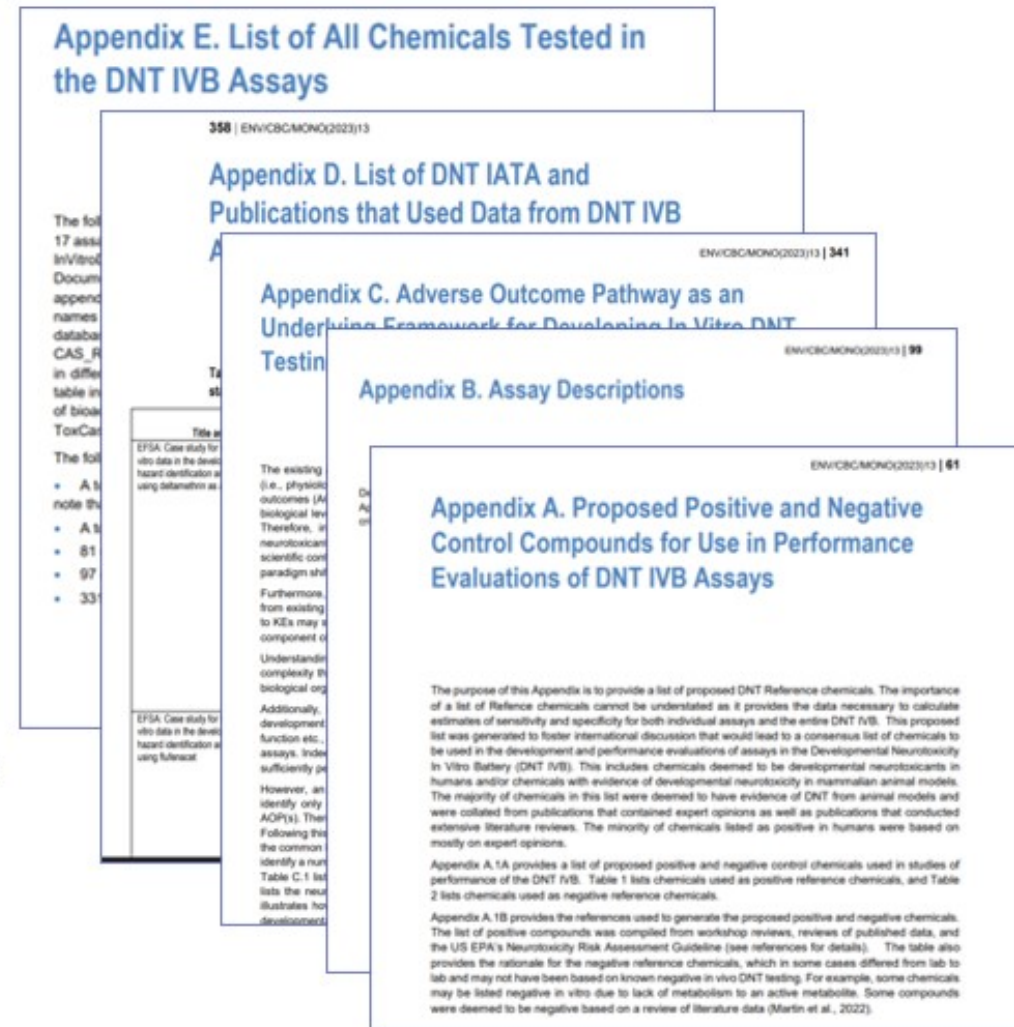
ENVIRONMENT DIRECTORATE  
CHEMICALS AND BIOTECHNOLOGY COMMITTEE

Cancels & replaces the same document of 9 October 2023

Initial Recommendations on Evaluation of Data from the Developmental Neurotoxicity (DNT) In-Vitro Testing Battery

Series on Testing and Assessment  
No. 377

- 1. Review on the science on DNT IVB and provide GD on how to evaluate the DNT IVB and its relevance for assessing DNT
- 2. Context (AOP informed IATA) and description DNT IVB. + Appendix B
- 3. WoE considerations
- 4. Integration of evidence. Standardize use.



Appendix E. List of All Chemicals Tested in the DNT IVB Assays

358 | ENV/CBC/MONO(2023)13

Appendix D. List of DNT IATA and Publications that Used Data from DNT IVB

ENV/CBC/MONO(2023)13 | 341

Appendix C. Adverse Outcome Pathway as an Underlying Framework for Developing In Vitro DNT Testing

ENV/CBC/MONO(2023)13 | 99

Appendix B. Assay Descriptions

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Appendix A. Proposed Positive and Negative Control Compounds for Use in Performance Evaluations of DNT IVB Assays

The purpose of this Appendix is to provide a list of proposed DNT Reference chemicals. The importance of a list of Reference chemicals cannot be understated as it provides the data necessary to calculate estimates of sensitivity and specificity for both individual assays and the entire DNT IVB. This proposed list was generated to foster international discussion that would lead to a consensus list of chemicals to be used in the development and performance evaluations of assays in the Developmental Neurotoxicity In Vitro Battery (DNT IVB). This includes chemicals deemed to be developmental neurotoxins in humans and/or chemicals with evidence of developmental neurotoxicity in mammalian animal models. The majority of chemicals in this list were deemed to have evidence of DNT from animal models and were collated from publications that contained expert opinions as well as publications that conducted extensive literature reviews. The minority of chemicals listed as positive in humans were based on mostly on expert opinions.

Appendix A.1A provides a list of proposed positive and negative control chemicals used in studies of performance of the DNT IVB. Table 1 lists chemicals used as positive reference chemicals, and Table 2 lists chemicals used as negative reference chemicals.

Appendix A.1B provides the references used to generate the proposed positive and negative chemicals. The list of positive compounds was compiled from workshop reviews, reviews of published data, and the US EPA's Neurotoxicity Risk Assessment Guideline (see references for details). The table also provides the rationale for the negative reference chemicals, which in some cases differed from lab-to-lab and may not have been based on known negative in vivo DNT testing. For example, some chemicals may be listed negative in vitro due to lack of metabolism to an active metabolite. Some compounds were deemed to be negative based on a review of literature data (Martin et al., 2022).

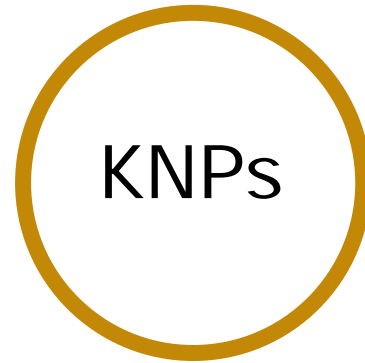


# THE OECD DNT IVB INITIAL RECOMMENDATIONS DOCUMENT NO.377

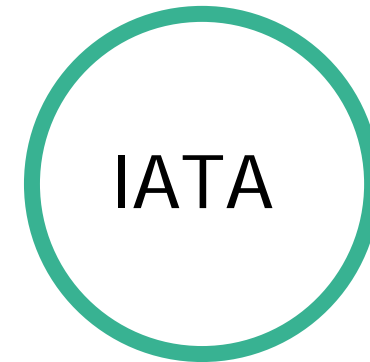
- The purpose is to provide guidance on **how to evaluate *in vitro* data** from the assays comprising the DNT IVB (e.g., hit vs non-hit, biological coverage). Understand the uncertainties in the assays and the data outputs.
- It is not intended to provide interpretative guidance on the use of results in human risk assessments. Specific criteria for such use will likely be developed by regulatory agencies who will determine acceptability based on their needs.



1. A battery of assays judged ready for use by international working groups
2. Tested a common set of chemicals 81
3. Assay descriptions expanded version of OECD GD211



1. Assays are designed to recapitulate basic processes of neurodevelopment
2. AOPs as a Framework for In Vitro DNT. Review of existing DNT AOPs



1. As a tool to integrate it in an WoE with all other available data.
2. Balancing the uncertainty in the data, with the uncertainty acceptable for the regulatory decision.
3. Series of proof of concept case studies **different CoU**



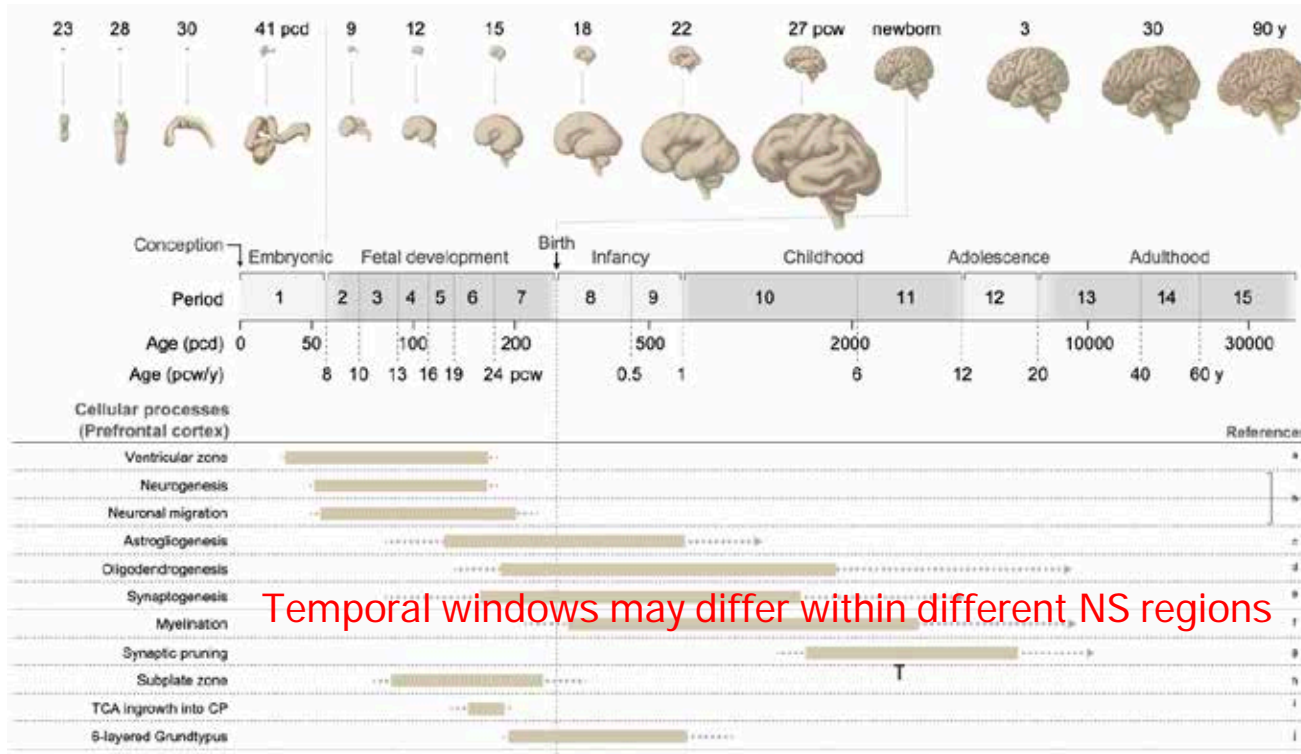
Figure 2.3. Assays in the DNT IVB

- Assays are not based on MiE (e.g., receptors).
- The assays in the DNT IVB instead measure neurodevelopmental processes that are critical for normal development of the nervous system and based on the following assumptions:
  - Changes in these cellular processes will reflect the integration of chemical disruptions in multiple up-stream molecular events.
  - Chemicals affecting one or more of these neurodevelopmental processes in vitro have the potential to do so in vivo if exposure.

Neurodevelopmental Process	Human				Rat	Future assays	
	Assay	Assay	Assay	Assay	Assay	Assay	Assay
NPC Proliferation	<i>NPC1</i> Neural Progenitor (IUF)	<i>hNP1 Prolif</i> Neural Progenitor (EPA)				hiPSC-derived Neural Progenitor	Radial Glia Neural Progenitor
NPC Apoptosis	<i>hNP1 Apop</i> Neural Progenitor (EPA)						
Cell Migration	<i>UKN2</i> Neural Stem line (UKON)	<i>NPC2a</i> Radial Glia (IUF)	<i>NPC2b</i> Neuronal (IUF)	<i>NPC2c</i> Oligodendrocyte (IUF)			
NPC-Neuronal Differentiation	<i>NPC3</i> Neuron (IUF)					Neuronal Subtype	hiPSC-derived Neural Progenitor
Neurite Outgrowth	<i>NPC4</i> Neuron (IUF)	<i>UKN4</i> NSC line Neuron (UKON)	<i>UKN5</i> Peripheral Neuron (UKON)	<i>hN initiation</i> Neuron (EPA)	<i>Cortical initiation</i> Primary Neuron (EPA)		
Neurite Maturation					<i>Cortical maturation</i> Primary Neuron (EPA)	Human Neuron	
Synaptogenesis					<i>Cortical synapto</i> Primary Neuron (EPA)	Human Neuron	
NPC-Glial Differentiation	<i>NPC5</i> Oligo (IUF)					Astrocyte	Radial glia
Myelination						Oligodendrocyte	
Neural Network Formation					<i>Cortical MEA</i> Primary Neuron (EPA)	Human Neuron (under development)	

*Detailed information on all the assays can be found in Appendix B*

# WHAT DO THEY MEASURE? KNPS



*Brain development is a highly complex procedure that covers time and a delicate balance of a large variety of key neurodevelopmental processes*

Silbereis et al. 2016

Biological processes at cellular level necessary for NS development.  
Multiple cellular pathways.

DNT IVB KNDP	DEVELOPMENTAL TIMING test system	
	Embryonic	Fetal
NPC proliferation		X
NPC apoptosis		X
NPC migration		x
Radial glia migration		X
Neuronal migration		X
Oligodendrocyte migration		X
Neuronal differentiation	X	X
Neurite outgrowth	X	X
Neuronal maturation	X	X
Synaptogenesis	X	X
Oligodendrocyte differentiation		X
Neuronal network formation	X	X

Overview of neurodevelopmental timing of the current DNT IVB assays





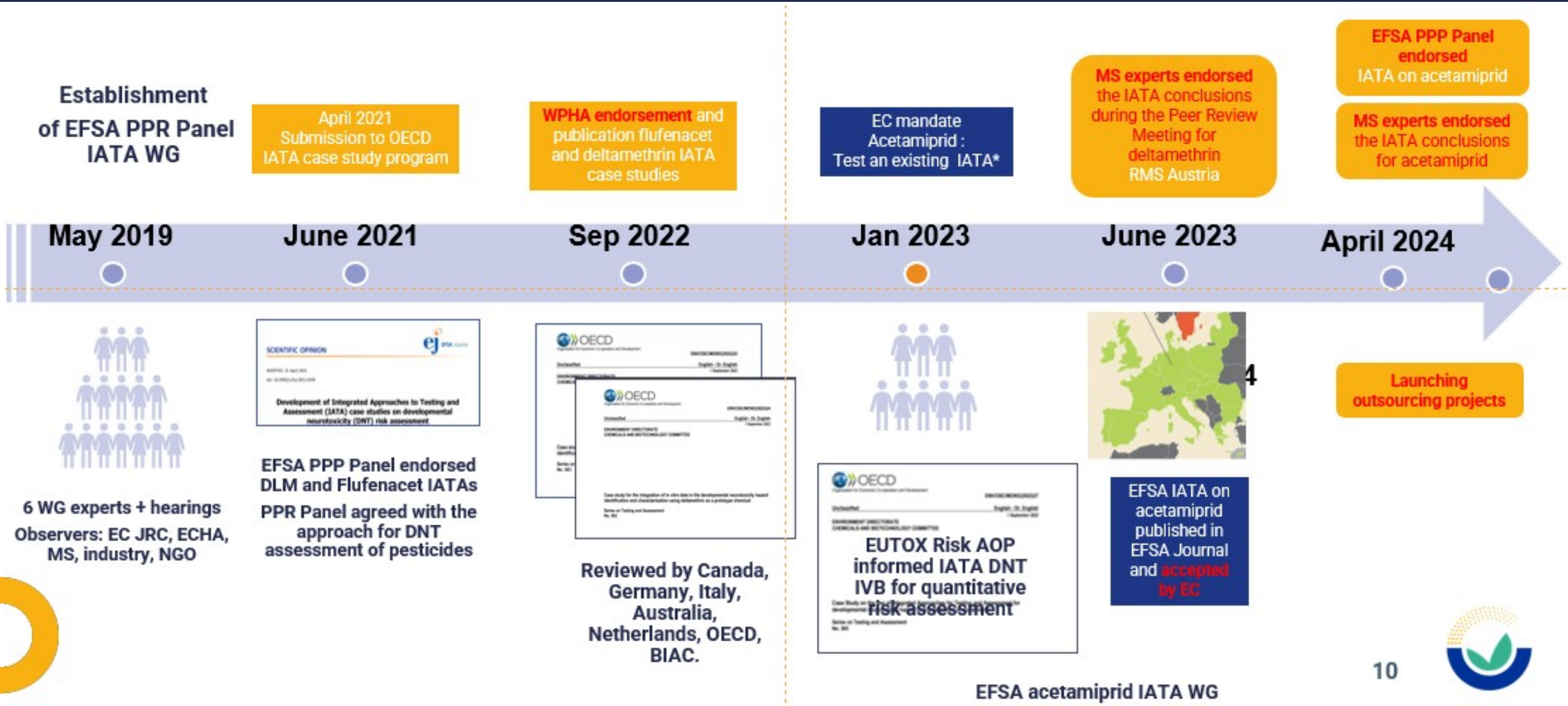
# ELEMENTS FOR WOE: IATA

1. Generic issues for all in vitro assays.
2. Issues specific for DNT IVB assays (unknown metabolic competence, applicability domain, nominal concentrations,
  - Treat the data from the DNT IVB like all other data used in a WoE in hazard assessments
3. Transparency in data analyses
4. Individual assay interpretation
5. Evaluation of data from the entire battery of assays

\*This is the longest section in the GD



# ACCEPTABILITY EFS A DNT IATA CASE STUDY PROJECT EU PESTICIDES REGULATORY CONTEXT OF USE AS AN EXAMPLE

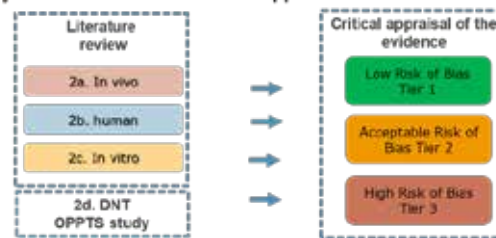


# EFSA AOP INFORMED IATA CASE STUDIES WOE WORKFLOW: EVIDENCE BASED



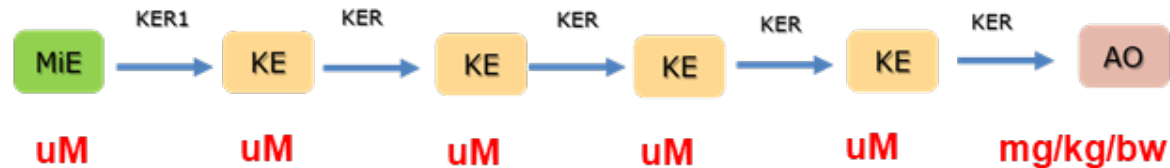
## DNT HAZARD IDENTIFICATION PROBLEM FORMULATION

**Step 1.** Systematic literature review and critical appraisal to assess data quality (reliability and reporting)



*In the context of the European pesticides Regulation (EU) 283/2013 and 1107/2009*

**Step 2.** Data integration: AOP framework



• Dose and temporal concordance considerations

**Step 3.** Data gap and uncertainty analysis

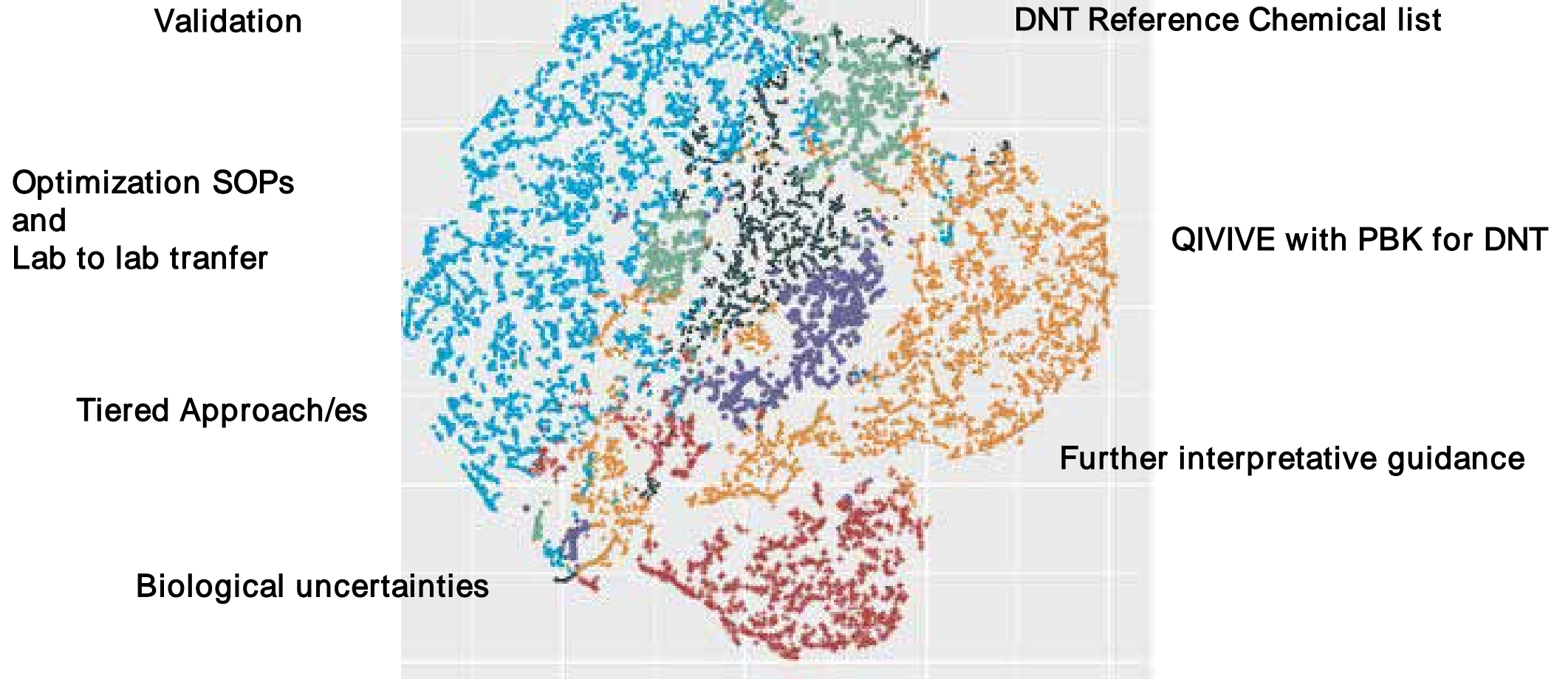
**Step 4.** AOP informed IATA conclusion and Regulatory conclusion

OECD IATA CS 362 and Cs 363.

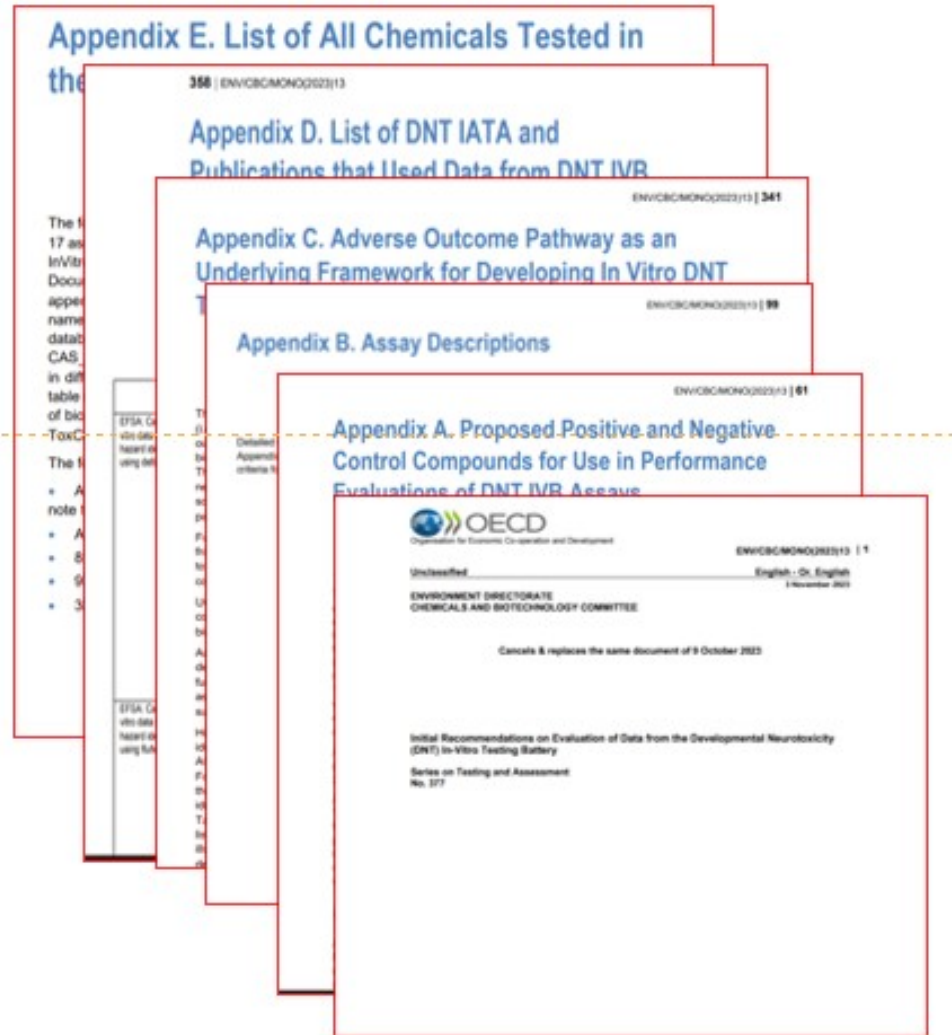
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# MOVING FORWARD: REGULATORY IMPLEMENTATION



# TOWARDS AN OECD GUIDANCE: ONGOING WORK REFERENCE CHEMICAL LIST



Current OECD DNT IVB EG efforts

Appendix A: OECD Guidance document provided a draft list.  
Ongoing update: developing an OECD consensus list

A soon to be released EFSA report  
**“Recommended DNT Reference  
Chemical Test Set For In Vitro Assay  
Development”**


Mundy and Crofton., 2024

Updates and prioritizes a list of 164  
chemicals



# TOWARDS AN OECD GUIDANCE: ONGOING WORK COMMON AGREED ANALYTICAL PIPELINE

There are a number of on-going efforts to begin analyses of all the data in the DNT IVB that will foster understanding of the worth of the assays and the battery.



Research Article

## The Impact of Biostatistics on Hazard Characterization Using *In Vitro* Developmental Neurotoxicity Assays

Hagen Eike Kappel<sup>1</sup>, Stefan Magyathusmann<sup>1</sup>, Kristina Bartmann<sup>1</sup>, Jonathan Blum<sup>2</sup>, Arif Dönmez<sup>1</sup>, Nils Förster<sup>4</sup>, Jördis Klösel<sup>1</sup>, Axel Morig<sup>1</sup>, Melanie Pahl<sup>1</sup>, Marcel Leitz<sup>3</sup>, Martin Scholze<sup>1,5</sup> and Ellen Fritsche<sup>1,1</sup>

<sup>1</sup>UF - Leibniz Research Institute for Environmental Medicine, Düsseldorf, Germany; <sup>2</sup>In vitro Toxicology and Biomedicine, Dept. inaugurated by the Dörmakamp-Zindler Foundation, University of Konstanz, Konstanz, Germany; <sup>3</sup>Medical Faculty, Heinrich-Heine-University, Düsseldorf, Germany; <sup>4</sup>Bioinformatics Group, Ruhr University Bochum, Bochum, Germany; <sup>5</sup>Brundl University, London, UK

JOURNAL ARTICLE

## Integrating Data From *In Vitro* New Approach Methodologies for Developmental Neurotoxicity

Kelly E Carstens, Amy F Carpenter, Melissa M Martin, Joshua A Harrill, Timothy J Shafer, Katie Paul Friedman

*Toxicological Sciences*, Volume 187, Issue 1, May 2022, Pages 62–79,  
<https://doi.org/10.1093/toxsci/kfac018>  
Published: 16 February 2022

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### Abstract

*In vivo* developmental neurotoxicity (DNT) testing is resource intensive and lacks information on cellular processes affected by chemicals. To address this, DNT new approach methodologies (NAMs) are being evaluated, including: the microelectrode array neuronal network formation assay; and high-content imaging to evaluate proliferation, apoptosis, neurite outgrowth, and synaptogenesis. This work addresses 3 hypotheses: (1) a broad screening battery provides a sensitive marker of DNT bioactivity; (2) selective bioactivity



by Control Concentration Response BMC by Lab Integrative Analyses Resources

## Developmental NeuroToxicity Data Integration and Visualization Enabling Resource (DNT-DIVER)



Research shows that a child's developing nervous system is far more vulnerable to chemical exposures than an adult nervous system. Recent increases in the rise of neurodevelopmental disorders such as attention deficit hyperactivity disorder (ADHD), dyslexia, and autism spectrum disorder have prompted scientific interest in the potential contribution of environment toxicants to these disorders.

Traditional animal, or *in vivo*, studies provide important information about developmental neurotoxicity (DNT) but they are time and resource intensive. NTP has also developed more rapid screening tools that use human cell-based, or *in vitro*, assays, as well as alternate animal models such as zebrafish and planaria to identify toxicants with potential for DNT. Multiple tests, or assays, are often required to represent the complexity of the developing nervous system, but that can make it challenging to compare and summarize results.

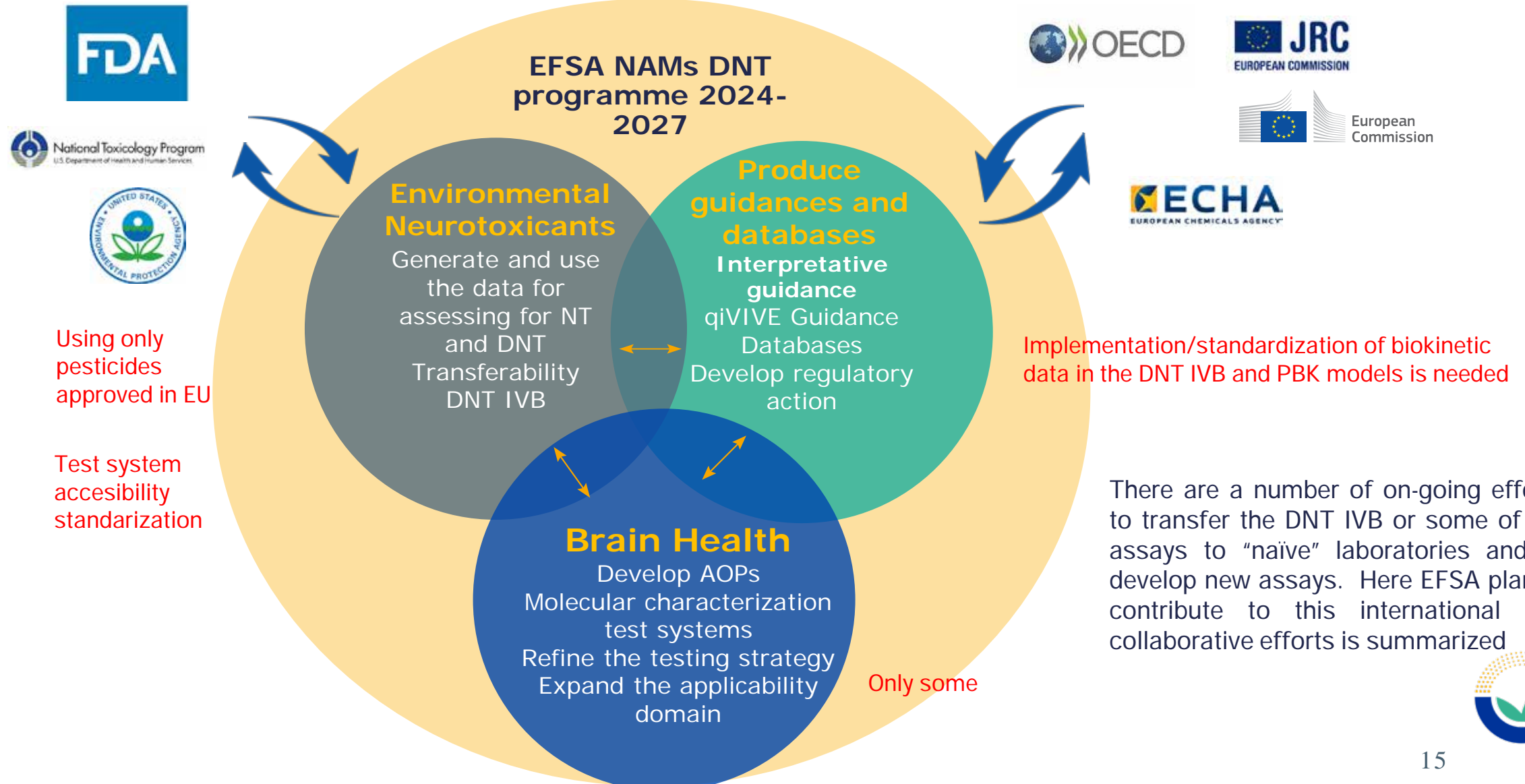
NTP designed the Developmental NeuroToxicity Data Integration and Visualization Enabling Resource (DNT-DIVER) to analyze, compare, and visualize multiple DNT assays in an interactive web-application.

Standardize and simplify analysis of data

Current OECD DNT IVB EG efforts



# TOWARDS AN OECD GUIDANCE: ONGOING EFFORTS TRANSFERABILITY, TESTING AND MORE IATAS



## LESSONS AND LEARNINGS

- The DNT IVB successfully used, accepted and trusted by the stakeholders. The DNT IVB demonstrated to provide relevant, high-quality data on information regarding DNT potential of chemicals that are perturbing early cellular processes that are difficult to measure in vivo.
- The DNT-IVB data is publicly available and should be integrated in the regulatory pesticides risk assessment in EU. The available data for approved pesticides in EU is limited. To make a further regulatory action (change in data requirements) the **transferability to naïve laboratories able to conduct the assays under GLP and make them commercially available is needed.**
- AOP informed IATA is the EFSA current recommended framework to integrate the DNT IVB mechanistic data in pesticides RA since allows identification and quantification of uncertainties and transparent report.
- The final aim is to have an internationally agreed **interpretative guidance** and an **agreed standard workflow** facilitating the mutual use in the regulatory frameworks for quantitative risk assessment while retaining necessary flexibility depending on the context of use and advancement of science.
- This future work will continue to imply international and collaborative effort paving the way also for a broader use of NAMs.



# ACKNOWLEDGMENTS

## OECD DNT IVB EG

Member States Competent Authorities  
Pesticides peer-review experts

EFSA Plant Protection Products Panel

EFSA IATA DNT WG experts

- Kevin Crofton (former US EPA)
- Antonio Hernández-Jerez (University of Granada)
- Anna Price (JRC)
- Martin Paparella (University Innsbruck)
- Tamara Coja (AGES)
- Jerome Jenri (ANSES)

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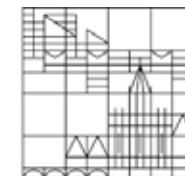
- Andrea Terron
- Iris Mangas
- Martina Panzarea
- Jochem Louisse

EFSA WG hearing experts:

- Magdalini Sachanna (OECD)
- Tim Shafer (US EPA)
- Mary Gilbert (US EPA)
- Kelly Carsten (US EPA)
- Marcel Leist (U Konstanz)
- Ellen Fritsche (SCAHT)
- Katharina Koch (IUF)

EFSA contractors DNT:

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# THANK YOU VERY MUCH STAY CONNECTED

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